

RELAXATION FREQUENCY CALCULATION
FOR OPEN AND CLOSED SHELL SYSTEMS

BY

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To Barbara,
With All My Love

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POLARIZATION PROPAGATOR CALCULATIONS
FOR OPEN AND CLOSED SHELL SYSTEMS

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The Polarization Propagator method is employed to calculate excitation energies and transition dipole moments within the Time-Dependent (TD), Random Phase Approximations (RPA) based on an independent particle state, the generalized Time-Dependent (GTRPA) and the Random Phase Approximation (RPA) based on the Generalized Antisymmetrized Geminal Power wavefunction. The method is also extended to include open shell systems in the GTRPA and has been successfully applied to Li atom. Explicit expression for the open shell GTRPA is included.

A close examination of the GMP wavefunction which serves as a 'constraint' reference state to the Polarization Propagator has been carried out. A comparative study of the RPA based MP2 and MP3 calculations for LiH , Li_2 and He systems

convincingly demonstrates the potential of the JSP to be a
quantitative reference site.

INTRODUCTION

Observables such as transition energies and transition moments of a molecular system may be evaluated directly by the Polarization Propagator [1]. Spectroscopic properties like nuclear spin-rotation coupling constants, dipole and quadrupole moments, refractive indices, Raman cross-sections, and frequency dependent polarizability are easily understood and calculated within the propagator formalism. Propagators, as the Green's functions or response functions to an external field probe, have originally found successful applications in field theory [2], and statistical mechanics [3]. The double time Green's function expressed as a response function referring to directly measurable quantities is particularly appealing for all areas of spectroscopic studies and has been implemented into statistical physics by Zubarev [4] and into quantum chemistry by Lindenberg and Öhrn [5]. Calculations of excitation energy by this approach obviate the need for optimizing both ground and excited states. Numerical inaccuracy due to taking the difference of two very large numbers (state energies) to obtain excitation energies is also circumvented by this procedure. The optimized reference state in a polarization propagator must form a basis for a balanced description of both ground and excited states in order to produce accurate excitation energies, and their associated properties. Early quantum chemical calculations of the Polarization Propagator have

applied the linearized time-dependent Hartree Fock (THF) [5] or the Random Phase Approximation (RPA), [6], and the time mean-field approximation (TMA), [7], using an optimized single determinant (Hartree Fock) reference state [8]. For a complete set of particle-hole $\{a_p^\dagger a_h\}$ and hole-particle $\{a_h^\dagger a_p\}$ operators, a unique set of excitation operators for the RPA has been given by Salpeter [9],

$$Q_k^+ = \sum_p \left(x_{pk} a_p^\dagger + y_{pk} a_p \right) \quad (1)$$

such that

$$Q_k^+ | \text{Reference state} \rangle = | \text{Excited state}, k \rangle \quad (2)$$

where Q_k^+ is a linear combination of particle hole operators, and Q_k its adjoint. x_{pk} and y_{pk} are the complex expansion coefficients. For a consistent approximation for RPA one must then also fulfill the condition

$$Q_k | \text{Reference state} \rangle = 0 \quad (3)$$

The failure of the Hartree Fock state to satisfy the above condition, has led to several approximations aimed at remedying this inconsistency. A higher RPA which uses multideterminantal THF by constructing a linear combination

of a HF state and all single-hole excitations out of it has been used, but suffers very often from triplet instabilities [32]. Another attempt to go beyond the simple RPA is the RPAH (self-consistent polarization propagator approach) [33]. This approach involves, at the second order density matrix, represented as

$$\begin{aligned} \langle a_L^\dagger a_J a_K^\dagger a_L \rangle = & \lim_{\eta \rightarrow 0} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\omega e^{-i\omega\eta} \langle a_L^\dagger a_J (a_K^\dagger a_L) \rangle \\ & + \langle a_L^\dagger a_J \rangle \langle a_K^\dagger a_L \rangle \end{aligned} \quad (14)$$

until self-consistency is achieved. The RPAH and other higher-order polarization propagator approaches [32] yield too high values for the excitation energies. Improvements on the RPAH with inclusion of two-particle-two-hole correlation terms are equivalent to second-order perturbative schemes of the polarization propagator [34]. While fairly accurate numerical results are achieved with such improved methods, the lack of consistency leads to problems with identification of the excited states of the system, with fewer spectroscopic states.

It has been established by Lindbergh and Öhrn [35], Öhrn and Lindbergh [36], using the unitary group perturbation expansion technique that the nonrelativistic reference state for the polarization propagator is necessarily a contracted

Antisymmetrized Geminal Power (AGP) wavefunction. The AGP is of same form as the N particle projected Hartree Fock wavefunction (PHF) function used in the theory of superconductivity [18] and nuclear physics [17]. While the AGP in practice has not proven to be an exact consistent reference to the full electron propagator in the RPA the AGP is a better consistent reference state to the Generalized Time Dependent Approximation (GTDA), than is the single determinantal RHF. The AGP based GTDA permits us to identify the eigenstates obtained, as spectroscopic excited states. Such an approximation amounts to setting $\gamma = 0$ in equation (1), and it has been conjectured that the contribution due to the γ component for AGP would be smaller than in an uncorrelated reference state or HF so that the validity of such a viewpoint, comparative studies were carried out for Be, LiB, and Li₂. These results give a strong indication that indeed, the RPA results are much closer to the TDA when the reference state is an AGP rather than a HF state. These results are tabulated in Chapter Four. These results further indicate that the triplet instabilities problem is greatly improved by using AGP over HF. The Generalized form of the AGP (GAGP) contains a single determinantal independent particle function that could accommodate core electrons which do not require detailed correlation or accommodate unpaired electrons (the doublet spin systems) which cannot be included in the ground of the AGP. The use of the generalized form often

proves to be more efficient in comparison with the fully correlated MBP. There is usually no loss in quality of results (by freezing the core orbitals in configurationally restricted [18]). The case of the open shell system is complicated by the fact that even though the orbitals of the excitation operators satisfy the vacuum condition, the MBP fails to be a consistent approximation for the open shell (spin doublet ground state) MBP reference state. This has been considered in Chapter Three and the problem arises primarily due to the spin dependency and the need to open the full spin space— in this case one can only expect to obtain numerical results using the full RPA expansion. In a paper by Furukawa and Jyngmann [19], the authors have evaluated excitation energies of open shell systems using the perturbative propagator formalism where they have treated the excited state with an unpaired electron as their zeroth order wavefunction and the configuration with an unpaired electron with a β spin as a second order correction to the wavefunction. With the same strategy, one may, in the first approximation, simply consider the configuration of excited states to be consisting of an unpaired electron with a spin only (which is then correct up to first order in the perturbation expansion). It is an interesting exercise to look at the numerical accuracy of this approximation. We have programmed the MBP for this approximation and used it to evaluate excited states of the H_2 atom. The first few excitation energies are surprisingly

close to experimental values, but one needs to check such a procedure for larger systems before any conclusions can be drawn. It nonetheless provides an inspiring first step towards developing a generally valid theory, although a more involved numerical procedure is outlined in Chapter Three together with results of these preliminary calculations.

CHAPTER ONE POLARIZATION PROPAGATION

Background

We may describe by a double-time Green's function (1) the overall probability amplitude of the density fluctuation function $\rho(t)$, of a pure system, as it responds to a weak external field. The double-time Green's function is expressed as,

$$\begin{aligned} G(t, t') &= \langle \langle \rho(t) ; \rho(t') \rangle \rangle_0 \\ &= i \theta(t-t') \langle [\rho(t) \rho(t')] \rangle_0 \\ &= i \theta(t'-t) \langle [\rho(t') \rho(t)] \rangle_0 \end{aligned} \quad (1-1)$$

where θ is the Heaviside step function, such that

$$\theta(t-t') = \begin{cases} 1 & \text{if } t > t' \\ 0 & \text{if } t < t' \end{cases} \quad (1-2)$$

and $|0\rangle$ is the ground state.

Invoking the resolution of the identity in equation (1-1), with a complete set of discrete eigenstates $|n\rangle$, of the hamiltonian H in equation (1-1), permits us to write, (3),

$$\begin{aligned} \langle \langle \rho(t) ; \rho(t') \rangle \rangle_0 &= \sum_n \left[i \theta(t-t') \langle \rho(t) | \rho(t') | n \rangle \langle n | 0 \rangle \right. \\ &\quad \left. - i \theta(t'-t) \langle \rho(t') | \rho(t) | n \rangle \langle n | 0 \rangle \right] \end{aligned} \quad (1-3)$$

The Hamiltonian \hat{H} is given by

$$\hat{H} = \sum_{i,j} b_{ij} a_i^\dagger a_j + \frac{1}{2} \sum_{i,j,k,l} c_{ijkl} (|kl\rangle a_i^\dagger a_j^\dagger a_l a_k)$$

with

$$b_{ij} = \langle i | -\frac{1}{2} \nabla^2 | j \rangle + \sum_k \frac{Z_k e^2}{|R_k - r|} \quad (1-6)$$

(1-6)

Z_k = the nuclear charge of atom k ,

e = electronic charge

R_k = position vector of nucleus k

r = position vector of electron l

and

$c_{ijkl}|kl\rangle$ = an antisymmetric electron repulsion integral.

The Fourier transformation of the equation (1-1) yields the energy representation of the propagator [1,11]:

$$\langle a_i a_j \rangle_E = \lim_{\eta \rightarrow 0} i \left[\frac{c(i) a | a c a | a | 0 \rangle}{E - \epsilon_{ia} + i\eta} - \frac{c(i) a | a c a | a | 0 \rangle}{E - \epsilon_{ja} - i\eta} \right] \quad (1-7)$$

(1-7)

The small positive number η , has been introduced to produce convergent integrals in the Fourier transformation. The damping factorization function a_i may be expanded in a basis set of one particle fermion operators $\{b_{ij}^\dagger a_j\}$, $1 \leq i, j \leq N$; the total N of functions in the spin orbital basis $\{b_{ij}\}$. This permits us to write equation (1-7) in the compact form

$$\begin{aligned} \cos^2 \alpha_1 \cos^2 \alpha_2 \psi_{\mathbf{B}} = \cos \frac{\beta}{2} \left[\frac{\cos(\alpha_1^2 \alpha_2)(\omega) \cos(\alpha_2^2 \alpha_1)(\Omega)}{\Omega - \frac{\omega}{\alpha_{\text{eff}}} - \frac{\Omega}{\alpha_{\text{eff}}}} \right. \\ \left. + \frac{\cos(\alpha_1^2 \alpha_2)(\omega) \cos(\alpha_2^2 \alpha_1)(\Omega)}{\Omega - \frac{\omega}{\alpha_{\text{eff}}} - \frac{\Omega}{\alpha_{\text{eff}}}} \right] \quad (1-6) \end{aligned}$$

Equation (1-6) is the Lehman-Kellie representation of the propagator. It reveals the various features of the propagator. Poles occur at the excitation energies of the system with residues, which can be used to, say, the calculation of the dipole transition moments. Thus we can now use may directly calculate spectral properties without formal calculations of excited states.

Procedure for Evaluation of Propagator

We differentiate equation (1-1) with respect to time and write it in component form as follows. (1)

$$\begin{aligned} i \frac{\partial}{\partial t} \cos^2 \alpha_1(t) \cos^2 \alpha_2(t) \psi_{\mathbf{B}} = E(t) \psi_{\mathbf{B}}(t) + \cos^2 \alpha_1(t) \cos^2 \alpha_2(t) \\ + \cos^2 \alpha_1(t) \cos^2 \alpha_2(t) \quad (1-7) \end{aligned}$$

where the time-dependent field operators are expressed in the interaction picture, with use of the time evolution operator, $u(t)$, as

$$a_i^\dagger a_j(x) = \alpha(x)^{\frac{1}{2}} a_i^\dagger a_j \alpha(x) \quad (1-40)$$

Equation (1-3) may lead to a hierarchy of responses in the following way. The Fourier transform of equation (1-3), yields

$$\text{Re}(a_1^\dagger a_2 a_2^\dagger a_1) \gg \text{Re} = \langle |a_1^\dagger a_2 a_2^\dagger a_1| \rangle = \langle \text{Re}(a_1^\dagger a_2) \text{Re}(a_2^\dagger a_1) \rangle \gg \text{Re} \quad (1-41)$$

Deriving this propagator equation may be conveniently achieved by using the superoperator technique of Chakrabart and Loken [10]. We first introduce a linear space of field operators [8,20,21]

$$\mathcal{H} = \{1, a_1^\dagger a_1, a_1^\dagger a_2^\dagger a_2 a_1, a_1^\dagger a_2^\dagger a_2^\dagger a_2 a_1 a_1, \dots\} \quad (1-42)$$

and introduce the superoperator Hamiltonian \tilde{H} , by

$$\dot{\tilde{H}} = [\mathcal{H}, \tilde{H}] \quad (1-43)$$

The superoperator identity $\dot{\tilde{I}}$, is defined as

$$\dot{\tilde{I}} = \tilde{H} \quad (1-44)$$

where \tilde{H} is a general element of vector space \mathcal{H} .

The superoperator binary product is defined as

$$(a_k | a_j) = \langle 0 | a_j a_k^\dagger | 0 \rangle \quad (1-13)$$

Inserting equation (1-11) we obtain,

$$\begin{aligned} \langle a_k^\dagger a_j | a_k^\dagger a_k \rangle &= \hbar^{-1} \langle 0 | (a_k^\dagger a_j - a_k^\dagger a_k) | 0 \rangle + \hbar^{-2} \langle 0 | (a_k^\dagger a_j, \mathcal{H}) (a_k^\dagger a_k) | 0 \rangle \\ &+ \hbar^{-3} \langle 0 | (a_k^\dagger a_j, \mathcal{H}, \mathcal{H}) (a_k^\dagger a_k) | 0 \rangle + \dots \end{aligned} \quad (1-14)$$

The application of the superoperator permits us to write equation (1-14) as,

$$\begin{aligned} \langle a_k^\dagger a_j | a_k^\dagger a_k \rangle &= \hbar^{-1} (a_j^\dagger a_j) (a_k^\dagger a_k) + \hbar^{-2} (a_j^\dagger a_k) (\hat{\mathcal{H}} (a_k^\dagger a_k)) + \\ &+ \hbar^{-3} (a_j^\dagger a_k) (\hat{\mathcal{H}}^2 (a_k^\dagger a_k)) + \dots \\ &= (a_j^\dagger a_k) (\hat{\mathcal{H}}^0 - \hat{\mathcal{H}})^{-1} (a_k^\dagger a_k) \end{aligned} \quad (1-15)$$

In equation (1-15) we have introduced the superoperator symbol. In order to bring this expression into matrix form one may use the method of linear projection, introduced in quantum chemistry by Lowdin (23,24) and Lowdin and Lindner (25), and first implemented by Arnesen in the polarization propagator approximation (26). We then express equation (1-15) in its matrix form as,

$$aa_2^\dagger a_1 a_2^\dagger a_1 a_2 = (a_2^\dagger a_1) (\hat{a}(\hat{a}^\dagger \hat{a}) \hat{a}^\dagger - \hat{a}^\dagger \hat{a}(\hat{a}^{-1} \hat{a}) a_2^\dagger a_1) \quad (1-28)$$

The manifold of operators that are particularly simple and interesting for studying excitation processes is the linear simple particle operators. We may therefore consider a partitioning of the operators set $\{B\}$, into a subset consisting of all possible simple particle operators, $\{b_q^{\pm}\}$, and the complementary subset, $\{a_q^{\pm}\}$, so that

$$B = \{b_q^{\pm}, a_q^{\pm}\} \quad (1-29)$$

With this partitioning the top left-hand block of the propagator may be written as the following [17],

$$\begin{aligned} (aa_2^\dagger a_1 a_2^\dagger a_1 a_2)_{\mathcal{B}} &= (a_2^\dagger a_1) (b_q^{\pm}) (a(\hat{a}_q - \hat{a}_q^\dagger)^{-1} (a)^\dagger (b_q^{\pm}) a_2^\dagger a_1) \\ &= (a_2^\dagger a_1) (b_q^{\pm}) (\hat{a}_q - \hat{a}_q^\dagger)^{-1} (a)^\dagger (b_q^{\pm}) a_2^\dagger a_1 \\ &\quad + \hat{a} \end{aligned} \quad (1-30)$$

In the above expression,

$$\hat{a}_q = (b_q^{\pm}) (b_q^{\pm})$$

$$\hat{a}_q = (b_q^{\pm}) \hat{a} (b_q^{\pm})$$

\hat{a} is the complex conjugate of a ,

$$\hat{a}^2 (a) = (b_q^{\pm}) \hat{a} (a_q^{\pm}) (a_q^{\pm}) (a)^\dagger \pm \hat{a} (a_q^{\pm}) (a_q^{\pm}) \hat{a} (b_q^{\pm})$$

and

$$u = \alpha a_1^\dagger a_j + \alpha a_2^\dagger a_1 > \frac{H_1 \ln 2}{1 + \frac{1}{2}} \quad (1-28)$$

Equation (1-28) represents the exact propagator. All possible approximations that will be considered originate from this equation. In the following section, the polarization propagator corresponding to the one particle excitation operator will be utilized. The next section deals with operators that generate singlet and triplet states out of closed shell($N = 0$) system. Since the creation of a complete space of doublet excited states out of a doublet ground state requires a particular type of two particle operators, the open shell propagator will be discussed separately in chapter three.

Excitation Propagator Approximation

Introducing a set of excitation operators $\{q_i^\dagger, q_i\}$ which create states with correct spin symmetry when acting on a singlet state (such as singlets, triplets etc.), we may write,

$$\begin{aligned} q_{1,2}^\dagger &= a_2(a_1^\dagger a_j \pm a_j^\dagger a_1) \quad \& \quad a_1(a_2^\dagger a_1 \pm a_1^\dagger a_2) \\ q_{1,2} &= a_2(a_1^\dagger a_j \pm a_j^\dagger a_1) \quad \& \quad a_1(a_2^\dagger a_j \pm a_j^\dagger a_2) \end{aligned} \quad (1-29)$$

where the occupation number n_j of spin orbital j in the reference state is greater than the occupation number n_1 of

spin orbital i , and a_i, b_j are coefficients for the excitation operators. The upper sign corresponds to an excitation operator for a spin singlet state and the lower sign to an operator for a triplet state. The most general one particle excitation operator may then be constructed by a linear superposition of the $a_i^\dagger b_i$'s, and the $a_i b_i$'s (8). The new set of excitation operators ($\bar{a}_\alpha^\dagger, \bar{a}_\alpha$) may be written as,

$$\begin{bmatrix} \bar{a}^\dagger & \bar{a} \end{bmatrix} = \begin{bmatrix} a^\dagger & a \end{bmatrix} \begin{bmatrix} x & y \\ y^* & x \end{bmatrix} \quad (1-21)$$

with (x, y) as expansion coefficients for general excitation operators.

For $\{\bar{a}^\dagger, \bar{a}\}$ to form a set of excitation operators, Goldard (9) proved the following theorem. Let $|i\rangle$ and $|k\rangle$ be arbitrary two-electron states, which satisfy $c|k\rangle c^\dagger = 1$ and $c|k\rangle = 0$. If the matrix $c|k, q, q^\dagger\rangle$ is non singular, there is one and only one, "excitation operator" of the form,

$$\bar{a}_k^\dagger = \sum_q \left(\bar{a}_q^\dagger a_{qk} + \bar{a}_q a_{qk} \right) \quad (1-22)$$

with that,

$$\begin{aligned} |k\rangle &= \bar{a}_k^\dagger |0\rangle \\ \bar{a}_k |k\rangle &= 0 \end{aligned} \quad (1-23)$$

In the above theorem $|q_p\rangle$ is an N -electron state in the Fock space and can be written as

$$|q_p\rangle = \sum_{i=1}^N a_i^{\dagger} |vac\rangle \quad (1-24)$$

The polarization propagator $\Pi(\Omega)$ is formally expressed as

$$\Pi(\Omega) = \begin{bmatrix} \langle\langle q : q^{\dagger} \rangle\rangle & \langle\langle q : q \rangle\rangle \\ \langle\langle q^{\dagger} : q^{\dagger} \rangle\rangle & \langle\langle q^{\dagger} : q \rangle\rangle \end{bmatrix} \quad (1-25)$$

Interposition of the propagator expression of equation (1-18) into equation (1-25) yields the following matrix equation,

$$\Pi(\Omega) = \begin{bmatrix} \mathbf{A} & \mathbf{0} \\ \mathbf{0} & -\mathbf{A} \end{bmatrix} \begin{bmatrix} \mathbf{A}\mathbf{A} - \mathbf{A} & \mathbf{0} \\ \mathbf{0}^{\dagger} & -(\mathbf{A}\mathbf{A} + \mathbf{A}^{\dagger}) \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{A} & \mathbf{0} \\ \mathbf{0} & -\mathbf{A} \end{bmatrix} \quad (1-26)$$

with the notation,

$$\mathbf{A} = \langle\langle |q_p, (q, q^{\dagger})| \rangle\rangle$$

$$\mathbf{A} = \langle\langle |q_p, (q, q)| \rangle\rangle \quad (1-27)$$

and the set $\{q^{\dagger}, q\}$ satisfy the relations,

$$\begin{aligned}
\langle 0q^+ , q^+ | \rangle &= \lambda = \langle 0q^+ , q | \rangle \\
\langle 0q^+ , q^+ | \rangle &= 0 = \langle 0q^+ , q | \rangle
\end{aligned}
\quad (11-28)$$

Diagonalization of propagator matrix is accomplished by a series of transformations (11-29), so one may express the propagator as,

$$\begin{aligned}
P(\mathbf{R}) &= \\
&\begin{bmatrix} \lambda^{1/2} & 0 \\ 0 & \lambda^{1/2} \end{bmatrix} \begin{bmatrix} 0 & \mathbf{r} \\ \mathbf{r} & 0 \end{bmatrix} \begin{bmatrix} \cos - \omega^{-1} & 0 \\ 0 & -i\sin + \omega^{-1} \end{bmatrix} \begin{bmatrix} \mathbf{r}^+ & \mathbf{r}^+ \\ \mathbf{r}^+ & \mathbf{r}^+ \end{bmatrix} \begin{bmatrix} \lambda^{1/2} & 0 \\ 0 & \lambda^{1/2} \end{bmatrix}
\end{aligned}
\quad (11-29)$$

The eigenvalues ω_i of the propagator are solutions of the eigenvalue equation,

$$\begin{bmatrix} \lambda & 0 \\ \mathbf{r}^+ & \lambda \end{bmatrix} \begin{bmatrix} \mathbf{r} \\ \mathbf{r} \end{bmatrix} = \omega \begin{bmatrix} \lambda & 0 \\ 0 & -\lambda \end{bmatrix} \begin{bmatrix} \mathbf{r} \\ \mathbf{r} \end{bmatrix}
\quad (11-30)$$

Even though the propagator matrix on the left hand side of equation (11-30) is itself hermitian and has real eigenvalues (29), this generalized eigenvalue equation may have complex eigenvalues. This is related to the stability of the minimum reference state with respect to symmetry breaking, which will be discussed in Chapter Two. If the reference state is stationary with respect to the variational principle then the propagator matrix is in fact the Hessian (38) of the variational problem (see Chapter Two):

Random Phase Approximation

When the polarization propagator calculations are based on the single determinants, one has the RPA which was originally used in nuclear physics [4]. Comparison of the components of the propagator equation (3-18) with the Lehman-Silbire representation permits us to identify the following relationships, [14,11]

$$\begin{aligned} k_2^{1/2} a_{12} &= \langle \phi | a_k | \phi \rangle ; & k_2^{1/2} v_{12} &= \langle \phi | a_k^\dagger | \phi \rangle ; \\ k_2^{1/2} a_{12}^\dagger &= \langle \phi | a_k^\dagger | \phi \rangle ; & k_1^{1/2} v_{12}^\dagger &= \langle \phi | a_k^\dagger | \phi \rangle \end{aligned} \quad (3-19)$$

and since in the process of diagonalization of the propagator we require the condition,

$$v^\dagger v - v^\dagger v = 1 \quad (3-20)$$

we obtain the condition that,

$$\langle \phi | \left[\frac{1}{2} k_2^{1/2} (v_k^\dagger a_{12} - a_k v_{12}^\dagger) / k_2 \right] | \phi \rangle = 1 \quad (3-21)$$

which leads us to the expression for the excitation operators $[Q_k^x]$,

$$\frac{1}{2} k_2^{1/2} (v_k^\dagger a_{12} - a_k v_{12}^\dagger) = Q_k^x \quad (3-22)$$

Usually we require the operators $\{Q^2\}$ to be such that their adjoints $\{Q\}$ annihilate the reference state,

$$Q_m|\Phi\rangle = 0, \quad \text{for all } m \quad (1-33)$$

It is however well known that the Hartree Fock reference state does not satisfy this vacuum condition (1-33) and is thus not a consistent reference state to the RPA [10,14,15] - in other words,

$$Q_m|\Phi\rangle \neq 0 \quad \text{for all } m \quad (1-34)$$

only if $\gamma = \phi$ is equation (1-34). One may set $\gamma=0$ as an approximation to the RPA, known in the literature as the Tamm-Dancoff approximation (TDA),

Tamm-Dancoff Approximation

The inconsistency of the RPA is rooted in the interaction of the reference state with excited states through the Hamiltonian (which is specified by the B matrix in the propagator). Consistency requires that the B matrix be zero identically. In the absence of any reference function which fulfils this requirement exactly, one may as an approximation set the B blocks to zero and obtain the Tamm-Dancoff Approximation as a consistent approximation to the RPA. Setting the B block to zero, the γ vector is

eliminated and the excitation operators for HF, $\{\hat{a}_{ij}^{\dagger}\}$, are then simple particle-hole operators,

$$\hat{a}_{ij}^{\dagger} = \hat{a}_{ij}^{\dagger} \hat{a}_j + \hat{a}_{ij}^{\dagger} \hat{a}_i \quad 1 \leq i \leq N_1, N+1 \leq j \leq N \quad (2-17)$$

where N is the total number of doubly occupied orbitals and N_1 is the total number of basis functions. It is obvious that the \hat{a}_{ij}^{\dagger} (TDA) satisfy the vacuum condition since the i orbitals are unoccupied in the ground state and hence annihilate the reference state.

With this background, we will now look into a more general and correlated wavefunction which may be a suitable reference function for the polarization propagator. As mentioned in the introduction, the Generalized Antisymmetrized Geminal Power wavefunction(GAGP) is such a reference state [14,15]. While in the past few years the MP2-TDA results has produced some interesting results [12], in this project we have developed and investigated several aspects of the MP2. We have developed the generalized version of the GTDA and GTRPA based on the GAGP and also made comparative studies of the GTDA and GTRPA to estimate the degree of consistency of this results. Our calculations with the MP2 results are compared with those based on the HF reference state. The next chapter contains a discussion of the GAGP wavefunction.

CHAPTER TWO THE REFERENCE STATE

Generalized Antisymmetrized Geminal Power Wavefunction

The AGP function, formulated as an N -particle projected AGP (Gerdien, Hoeger, Schrieffer) function and alternatively known as the Haffner-Anderson pair wavefunction [17], has been applied successfully in the theory of superconductivity. Efforts directed towards obtaining a proper reference state for the polarization propagator have led to suggestions by Lindenberg and Chin [18], and Chin and Lindenberg [19] for employing AGP as the reference state for polarization propagators. A generalized AGP function was also established by Gosciniak and Weiner [20] to be the current reference state for the self-consistent one-particle-hole propagator (SC1PH). Furthermore, because of the sparse and well-estimated first and second order density matrices, the AGP function has been employed by Coleman [21], Mahan [22], and others in connection with the R -representability problem. Certain early attempts with the AGP reference state had been rather disappointing because of inefficient computational schemes. However, in recent times sophisticated optimizing strategy built on exploiting the particularly well-structured characterization of first and second order density matrices of the AGP has proved the wavefunction gives an efficient description of electron correlation.

Functional Form

The basic information is an AEP wavefunction is contained in a single geminal $\Phi(i,j)$, which represents a pair of electrons i,j . The geminal may be expressed as a superposition of all two electron determinants $[\phi_k\phi_l]$, weighted by factors a_{kl} . We may express Φ^* , treating a geminal as the vacuum as,

$$\Phi^*(i,j) = \sum_{k,l \in \Omega} a_{kl} \left(\phi_k^*(i)\phi_l^*(j) - \phi_l^*(i)\phi_k^*(j) \right) \quad (3-15)$$

where Ω is the total number of functions in the basis set. ϕ_k and ϕ_l are natural spinorbitals (nso's) that contain the same spatial function but with α and β spins respectively.

Thus for a system with $2N$ (even) electrons, an AEP function is an antisymmetrized $N/2$ power of the geminal [14,15],

$$\text{AEP} = (C_{N/2})^{-1} \left(\Phi^* \right)^{N/2} \quad (3-16)$$

where $\Phi(i,j)$ may also be written in the natural spinorbital basis as

$$\Phi(i,j) = \sum_k a_k \left(\phi_k(i)\phi_k(j) - \phi_k(i)\phi_k(j) \right) \quad (3-17)$$

$c_{n/2}$ is the normalization constant and consists of a symmetric function Σ of order $n/2$, having the following form

$$c_{n/2} = \frac{1}{1 \leq i_1 < i_2 \dots i_{n/2}} v_{i_1} v_{i_2} \dots v_{i_{n/2}} \quad (2-4)$$

$$= n_{n/2}$$

where,

$$v_i = v_i^* v_i = |v_i|^2 \quad (2-5)$$

the symmetric functions are defined such that (2-6),

$$n_n = \begin{cases} 0 & \text{if } n \neq 0, \text{ or } n > n \\ \frac{1}{1} v_{i_1} v_{i_2} \dots v_{i_{n/2}} & \text{if } 1 \leq i_1 < i_2 \dots i_{n/2} \end{cases} \quad (2-6)$$

the natural spinorbitals of the geminal are also the natural spinorbitals of the ADF function. Even though the ADF expression in equation (2-2) is restricted to spin singlet by doubly occupying each spatial orbital k in the geminal, a general expansion may consist of pairings that have both singlet and triplet combinations. This results in an ADF form which one may project out a certain spin for a pure spin state. Such an expansion has already been considered [38], but the construction did not appear to be very useful and is rather cumbersome. Senfolt and Gosciniak [39] have

also considered two different geminals in the ACF with interesting results for the ground state of He. In this case the total energy of the the system is the same as the MCHF total energy with the same basis. But it is important to note that a true ACF function is a power function of a single geminal. It is often convenient to attach to the ACF function, an independent particle factor (IPF) Φ , that can account for molecular orbitals which are essentially uncorrelated, such as the core and some inner shell electrons in a relatively large system. The IPF component also becomes essential for calculations involving open shell systems (with odd number of electrons) to accommodate the unpaired electrons. The Generalized Antisymmetrized General Power (GAGP) wavefunction for N electrons is formulated as an antisymmetrized product of ACF and IPF components, containing k geminals and m ipf functions.

$$\psi_{\text{GAGP}} = (2\pi)^{-1/2} (N!)^{-1/2} a_{\text{gs}} \left(\phi^i \right)^k a_{\text{gem}1}^i \cdots a_{\text{gem}k}^i \Phi_{\text{IPF}} \quad (2-3)$$

The ACF wavefunction contains a very large number of configurations, $\binom{N}{k}$ with each smaller number (k) of the variational parameters g , the energy functional $E(\mathbf{g};\mathbf{q})$, which is a function of a set of orbital coefficients \mathbf{c} , and a set of geminal expansion coefficients \mathbf{g} , is represented by

$$E(L, g) = \frac{\langle \psi_0 | H | \psi \rangle \langle \psi | \psi_0 \rangle}{\langle \psi | \psi \rangle \langle \psi_0 | \psi_0 \rangle} \quad (2-8)$$

Since the Hamiltonian consists of at most two-particle interaction terms, one can express the above energy expression, by means of a reduced Hamiltonian H_R , and second order density matrix $\alpha^{(2)}_{ij}$ (29), in the following form,

$$E = \text{Tr} [H_R \alpha^{(2)}_{ij} | \Psi_{\text{HGF}} \rangle] \quad (2-9)$$

$\alpha^{(2)}_{ij}$ is the second order density matrix of the GGF. Equation (2-9) is much more attractive than equation (2-8) computationally because of reduced dimension, however (2-9) cannot be used unless the second order density matrix corresponds to states in N -dimensional Hilbert space. This is known in the literature as the N -representability problem (30). For the GGF function, the 2-matrix is N -representable and we can use equation (2-9) to compute the energy quite simply. We use second quantized operators to describe the reduced Hamiltonian and 2-density as follows.

The reduced Hamiltonian H_R has the form,

$$\begin{aligned} H_R = & \sum_{i,j,k,l} \left(\left(\frac{g}{2} \right)^2 \right) a_{ik}^\dagger a_{jl}^\dagger a_{ik} a_{jl} \\ & + (2g - 1) \frac{1}{2} (a_{jk}^\dagger a_{jl} + a_{jl}^\dagger a_{jk} - a_{il}^\dagger a_{jk} - a_{jk}^\dagger a_{il}) \\ & + \langle i j | | k l \rangle \} a_i^\dagger a_j^\dagger a_k a_l \end{aligned} \quad (2-10)$$

The only possible types of non-zero second order density matrix elements (14) are summarized below:

$$\begin{aligned} \alpha_{ij}^{(2)} &= \langle \text{GASP} | a_i^\dagger a_j^\dagger a_l a_j | \text{GASP} \rangle = a_i^\dagger a_j \frac{\alpha_{ij}^{(1,2)}}{\frac{1}{2} \alpha_0} \\ &= a_i^\dagger a_j \frac{a_i^\dagger a_j \alpha_{ij}}{\alpha_0 / \alpha_0} / \alpha_0 \end{aligned} \quad (2-11)$$

is a square matrix of order $\left(\frac{1}{2}\right) \times 2$

$$\begin{aligned} \alpha_{ij}^{(2)} &= \left. \begin{aligned} &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \end{aligned} \right\} = a_i^\dagger a_j \frac{\alpha_{ij}^{(1,2)}}{\frac{1}{2} \alpha_0} = a_i^\dagger a_j \frac{a_i^\dagger a_j \alpha_{ij}}{\alpha_0 / \alpha_0} / \alpha_0 \end{aligned} \quad (2-12)$$

is a diagonal matrix of order $\left(\frac{1}{2}\right)$

$$\begin{aligned} \alpha_{ij}^{(2)} &= \left. \begin{aligned} &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \\ &\langle \text{GASP} | a_j^\dagger a_i^\dagger a_l a_j | \text{GASP} \rangle \end{aligned} \right\} = |a_j|^2 \frac{\alpha_{ij}^{(2)}}{\alpha_0} = |a_j|^2 \frac{a_j a_j}{\alpha_0 / \alpha_0} / \alpha_0 \end{aligned} \quad (2-13)$$

is a $(n-2k)$ order diagonal matrix

$$\alpha_k^{(2)} = \left. \begin{aligned} &+ \langle \phi | \phi \rangle \langle \alpha_k^0 | \alpha_k^0 \alpha_k^0 \alpha_k^0 | \phi \rangle \langle \phi | \phi \rangle \\ &+ \langle \phi | \phi \rangle \langle \alpha_k^0 | \alpha_k^0 \alpha_k^0 \alpha_k^0 | \phi \rangle \langle \phi | \phi \rangle \\ &+ \langle \phi | \phi \rangle \langle \alpha_k^0 | \alpha_k^0 \alpha_k^0 \alpha_k^0 | \phi \rangle \langle \phi | \phi \rangle \\ &+ \langle \phi | \phi \rangle \langle \alpha_k^0 | \alpha_k^0 \alpha_k^0 \alpha_k^0 | \phi \rangle \langle \phi | \phi \rangle \end{aligned} \right\} = 1 \quad (1-14)$$

is a unit matrix of order 20×20 .

In the above equations (1-1) through 1-14), spin orbitals are ordered such that $1\bar{1}\bar{1}\bar{1}, 1\bar{1}\bar{1}\bar{0}, 1\bar{1}\bar{0}\bar{1}\bar{0}, \alpha_0^0(1\bar{0}\bar{0}\bar{0})$. Superscripts on the symmetric functions indicate inclusion of the geminal coefficients corresponding to those orbitals in the symmetric functions. It is to be noted that there are $(8-20)$ elements of the $\alpha_k^{(2)}$ block that are identical to each diagonal element of the $\alpha_k^{(2)}$ block; for the calculation of energy, only the trace of $\alpha_k^{(2)}$ is necessary and since the second order density matrix is block diagonalized, one only requires the corresponding diagonal blocks of the reduced Hamiltonian \hat{H}_k , which we may denote by $\hat{H}_a, \hat{H}_b, \hat{H}_c$, and \hat{H}_d . Each block of \hat{H} run through the same indices as the two density. The energy expression is quite simply written as,

$$\begin{aligned} \langle \phi | \hat{H} | \phi \rangle &= \langle \hat{H}_a + \hat{H}_b + \hat{H}_c + \hat{H}_d | \alpha_k^{(2)} | \alpha_k^{(2)} \rangle = \langle \hat{H}_a + \hat{H}_b + \hat{H}_c + \hat{H}_d | 1 \\ &= \frac{1}{16} \left[\left(\langle \hat{H}_a |_{1\bar{1}\bar{1}\bar{1}} + (8-20) \langle \hat{H}_a |_{1\bar{1}\bar{1}\bar{0}} \right) \langle \alpha_k^{(2)} |_{1\bar{1}\bar{1}\bar{1}} \right. \\ &\quad \left. + \frac{1}{4} \langle \hat{H}_b |_{1\bar{1}\bar{0}\bar{1}\bar{0}} \rangle \langle \alpha_k^{(2)} |_{1\bar{1}\bar{0}\bar{1}\bar{0}} + \frac{1}{4} \langle \hat{H}_c |_{1\bar{0}\bar{0}\bar{0}} \rangle \right] \quad (1-15)
\end{aligned}$$

The ACF wavefunction is optimized with respect to both

orbital and geminal expansion coefficients, and in the case of CASP, the DPF orbitals are also allowed to relax. Schemes of optimization of MCSCF wavefunctions have been developed by Werner and Gosciniak (40), Schaefer, Werner and Olsen (41). A two-step procedure is followed for optimizing the CASP function, with no coupling between orbital and geminal optimizations. A minimum point on the energy surface is obtained by requiring that the first derivative (gradient) with respect to orbital and geminal coefficients be zero and the second derivative (Hessian) be positive. Explicit expressions for obtaining orbital gradient and Hessian are presented in the next section. All the necessary expressions for geminal coefficient variations were derived by Jensen, Werner and Olsen (42) and are identical for both ACP and CASP functions.

Orbital Optimizations

The variation of the energy functional with respect to orbital and geminal coefficients is given by,

$$\begin{aligned} E(\lambda, \phi) = & \\ \text{Tr} \left[\exp \left(-i \sum_{i,j} \lambda_{ij} (a_i^\dagger a_j - a_j^\dagger a_i) + i \sum_{i,j} \lambda_{ij} (a_i^\dagger a_j + a_j^\dagger a_i) \right) \right. \\ & \left. a_0 \exp \left[i \sum_{i,j} \lambda_{ij} (a_i^\dagger a_j - a_j^\dagger a_i) - i \sum_{i,j} \lambda_{ij} (a_i^\dagger a_j + a_j^\dagger a_i) \right] \right]^{1/2} \langle \psi \rangle \end{aligned} \quad (B-28)$$

where $\{k_{\alpha\beta}\}$ is a set of complex numbers that parameterize the unitary transformation. If the energy function is expanded around $k = 0$, in a Taylor series and the expansion series is truncated through second order, then we can write the following equation,

$$E(k, g) = E(0, g) + k \cdot \nabla_k E(0, g) + \frac{1}{2} k^T \cdot \nabla_k^2 E(0, g) k \quad (2-17)$$

At a stationary point, the gradient is zero, $\nabla_k E(k, g) = 0$. This allows us to obtain an expression for k_{min} from the first derivative of equation (2-17), with respect to k . At minimum point we also require that the Hessian must be positive, ie $\nabla_k^2 E(k, g) > 0$. We thus obtain the relationship,

$$k_{min}^T = - \frac{\nabla_k E(0, g)}{\nabla_k^2 E(0, g)} \quad (2-18)$$

The direction and length of the rotation of the spin orbitals is given by k_{min}^T , which then generates the new set of transformed orbitals, and the process is repeated until the norm of the gradient is of desired magnitude. The gradient and Hessian terms can be quite complicated, if the Taylor's expansion is performed around points other than $k = 0$. To avoid such complication the reduced Hamiltonian is transformed to the current MO basis on each iteration. So

our calculation we have restricted to real singlet variations only. The gradient with respect to element α_{kl} is

$$\nabla_{kl}(\lambda_{\text{singlet}}, \mathcal{Q}) = \text{Re} \left[(16\alpha_0^2 \alpha_1 - \alpha_1^2 \alpha_0), \mathcal{R}_0 \mathcal{D}^{(2)} \right] \quad (3-20)$$

When the commutator in (3-20) is expanded, we obtain by rearrangement, (48)

$$\nabla_{kl}(\lambda_{\text{singlet}}, \mathcal{Q}) = 8\alpha_0 \text{Re} \left[16\alpha_0^2 \alpha_1 (\mathcal{R}_0 \mathcal{D}^{(2)}) \right] \quad (3-21)$$

which can be rewritten as

$$\nabla_{kl}(\lambda_{\text{singlet}}, \mathcal{Q}) = 8\alpha_0 \text{Re} \left[\sum_i (i\alpha_k b_i + i\alpha_l b_i) (\mathcal{R}_0 \mathcal{D}^{(2)}) \right] \quad (3-22)$$

b_i referring to spin orbital i of the dimer, and so on.

or,

$$\nabla_{kl}(\lambda_{\text{singlet}}, \mathcal{Q}) = 8\alpha_0 \text{Re} \left[\sum_i i\alpha_k b_i (\mathcal{R}_0 \mathcal{D}^{(2)}) (i\alpha_l b_i) \right] \quad (3-23)$$

or,

$$\begin{aligned} \nabla_{kl}(\lambda_{\text{singlet}}, \mathcal{Q}) &= 8\alpha_0 \left(\sum_i (\mathcal{R}_0 \mathcal{D}^{(2)})_{i+1/2} \right) \\ &= 8\alpha_0 \sum_{i,j,k,l} \left[(\mathcal{R}_0)_{k \text{ ref}} b_{i+1/2}^{(2)} - b_{i+1/2}^{(2)} (\mathcal{R}_0)_{i+1/2, l} \right] \end{aligned} \quad (3-24)$$

As the optimization is restricted to singlet variation, spin orbitals k and l are either both of α spins or of β spins, while the summation goes over all possible combinations of

eqns. Explicit formulas for the gradients are included in appendix 1.

We now proceed to the evaluation of the orbital hessian. We may expand the right hand side of equation (2-14) in powers of real and imaginary parts of λ , leading to the following form:

$$\begin{aligned} H(\lambda, g) = & \\ \text{Tr} \left[\left(1 + \frac{1}{2} \sum_{pq} \left(\text{Re } \lambda_{pq} (a_p^\dagger a_q - a_q^\dagger a_p) + i \text{Im } \lambda_{pq} (a_p^\dagger a_q + a_q^\dagger a_p) \right) - \right. & \\ \left. - \frac{1}{6} \left[\sum_{pq} \left(\text{Re } \lambda_{pq} (a_p^\dagger a_q - a_q^\dagger a_p) + i \text{Im } \lambda_{pq} (a_p^\dagger a_q + a_q^\dagger a_p) \right) \right]^2 + \dots \right] & \\ E_0 \left(1 + \frac{1}{2} \sum_{rs} \left(\text{Re } \lambda_{rs} (a_r^\dagger a_s - a_s^\dagger a_r) + i \text{Im } \lambda_{rs} (a_r^\dagger a_s + a_s^\dagger a_r) \right) - \right. & \\ \left. - \frac{1}{6} \left[\sum_{rs} \left(\text{Re } \lambda_{rs} (a_r^\dagger a_s - a_s^\dagger a_r) + i \text{Im } \lambda_{rs} (a_r^\dagger a_s + a_s^\dagger a_r) \right) \right]^2 + \dots \right]^{(12)} & \end{aligned} \quad (2-24)$$

the infinitesimal generators of the unitary transform \hat{U} can be symmetry adapted leading to a symmetry blocking of the hessian. We work out an example with the simple transformation operator $(\hat{X}_{pq}^\dagger)_s$. Denoting the simple field operators in equation (2-24) defined as,

$$\begin{aligned} \hat{X}_{pq,s}^\dagger &= a_p^\dagger a_q + a_q^\dagger a_p \\ \hat{X}_{pq,s} &= a_q^\dagger a_p + a_p^\dagger a_q \end{aligned} \quad (2-25)$$

so that the unitary transformation of the energy functional may written as,

$$\begin{aligned}
E(k, q) = & \text{Tr} \left\{ \left(1 + \frac{1}{g^2} \left[\text{Im} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + i \text{Re} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 + \mathcal{R}_{\text{IR}}) \right] - \right. \right. \\
& \left. \left. - \frac{1}{2} \left[\left(\frac{1}{g^2} \left[\text{Im} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + i \text{Re} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 + \mathcal{R}_{\text{IR}}) \right] \right)^2 \right] \right) \right\} \\
& \mathcal{R}_{\text{IR}} \left\{ \left(1 + \frac{1}{g^2} \left[\text{Im} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + i \text{Re} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 + \mathcal{R}_{\text{IR}}) \right] - \right. \right. \\
& \left. \left. - \frac{1}{2} \left[\left(\frac{1}{g^2} \left[\text{Im} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + i \text{Re} \lambda_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 + \mathcal{R}_{\text{IR}}) \right] \right)^2 \right] \right) \right\}^{(2)}
\end{aligned} \quad (2-24)$$

The i -th element of the Hessian may be constructed by taking the second derivative of the energy functional with respect to parameters k_{1j} and k_{2j} . Since the set $\{k\}$ is a parameter set and the trace operation is linear, equation (2-18) can be written as a sum of traces, with appropriate factors of products of k 's outside the trace operation. Taking the second derivatives and evaluating the Hessian elements around $k=0$, leads to massive simplification of the expression as illustrated in the following steps. The singlet variation may split up into four components depending on the rotational parameter being real, imaginary or complex. We will discuss these four blocks of the singlet Hessian separately.

Variation with respect to be k_{1j} and be k_{2j} gives,

$$\begin{aligned}
\partial^2 E(k, q) \big|_{k=0} = & \text{Tr} \left[\left((\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) \mathcal{R}_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) \mathcal{R}_{\text{IR}} (\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) \right. \right. \\
& \left. \left. + \frac{1}{2} \left[(\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) \mathcal{R}_{\text{IR}} + (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) (\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) \mathcal{R}_{\text{IR}} \right. \right. \right. \\
& \left. \left. + \mathcal{R}_{\text{IR}} (\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) + \mathcal{R}_{\text{IR}} (\mathcal{X}_{\text{IR}}^2 - \mathcal{R}_{\text{IR}}) (\mathcal{X}_{1j}^2 - \mathcal{R}_{1j}) \right] \right) \delta^{(2)} \right]
\end{aligned} \quad (2-27)$$

introducing the following notation,

$$\begin{aligned} A_{1,jk1} &= \text{tr}[(\hat{x}_{1j}^\dagger, [x_k - x_{k0}^\dagger]) + (x_{k0}^\dagger, [\hat{x}_{1j}, x_{k0}^\dagger])]e^{i\theta_1} \\ B_{1,jk1} &= \text{tr}[(\hat{x}_{1j}^\dagger, [x_k - x_{k2}^\dagger]) + (x_{k2}^\dagger, [\hat{x}_{1j}, x_{k2}^\dagger])]e^{i\theta_2} \end{aligned} \quad (2-26)$$

Equation (2-27) may be written in symbolic form as

$$\begin{aligned} e^2 E(k, \theta)_{1,jk1} \Big|_{k=0} &= \frac{1}{2} (A_{1,jk1} - B_{1,jk1} - B_{1,jk1}^\dagger + A_{1,jk1}^\dagger) \\ &= 2\text{Re}(k - B_{1,jk1}) \end{aligned} \quad (2-28)$$

In equation (2-28) we have used the fact that the expectation value of the adjoint of an operator is the complex conjugate of the expectation value of the operator. Expectation with respect to $\ln \lambda_{1j}$ and $\ln \lambda_{k1}$ gives,

$$\begin{aligned} e^2 E(k, \theta)_{1,jk1} \Big|_{k=0} &= \\ \text{tr} \Big[&- \{ (\hat{x}_{1j}^\dagger + x_{1j})x_k (\hat{x}_{k0}^\dagger + x_{k1}) + (\hat{x}_{k1}^\dagger + x_{k2})x_k (\hat{x}_{1j}^\dagger + x_{1j}) \\ &+ \frac{1}{2} \{ (\hat{x}_{k2}^\dagger + x_{1j}) (\hat{x}_{k1}^\dagger + x_{k1})x_k + (\hat{x}_{1j}^\dagger + x_{1j}) (\hat{x}_{k1}^\dagger + x_{k1})x_k \\ &+ x_k (\hat{x}_{1j}^\dagger + x_{1j}) (\hat{x}_{k1}^\dagger + x_{k1}) + x_k (\hat{x}_{k1}^\dagger + x_{k1}) (\hat{x}_{1j}^\dagger + x_{1j}) \} \} \Big] e^{i\theta_1} \end{aligned} \quad (2-29)$$

Equation (2-29) can thus be written symbolically as

$$\begin{aligned}
\tau^2 \Pi(\lambda, \theta)_{12341} \Big|_{\lambda=0} &= -\frac{1}{2} \left[\pi_{12341} + \pi_{12342} + \pi_{12341}^* + \pi_{12342}^* \right] \\
&= -\operatorname{Re}(\lambda + \theta)_{12341}
\end{aligned}
\tag{B-31}$$

3) variations with respect to $\operatorname{Re} \lambda_{12}$ and $\operatorname{Im} \lambda_{41}$ yields the following expression

$$\begin{aligned}
\tau^2 \Pi(\lambda, \theta)_{12341} \Big|_{\lambda=0} &= \\
&\operatorname{Re} \left[i \left\{ (\pi_{12}^* - \pi_{12'}) \pi_{41} (\pi_{41}^* + \pi_{41}) + (\pi_{41}^* + \pi_{41}) \pi_{41} (\pi_{12}^* - \pi_{12'}) \right. \right. \\
&\quad \left. \left. + \frac{1}{2} \left\{ (\pi_{12}^* - \pi_{12'}) (\pi_{41}^* + \pi_{41}) \pi_{41} + (\pi_{12}^* - \pi_{12'}) (\pi_{41}^* + \pi_{41}) \pi_{41} \right. \right. \right. \\
&\quad \left. \left. + \pi_{41} (\pi_{12}^* - \pi_{12'}) (\pi_{41}^* + \pi_{41}) + \pi_{41} (\pi_{41}^* + \pi_{41}) (\pi_{12}^* - \pi_{12'}) \right\} \theta^{(2)} \right]
\end{aligned}
\tag{B-32}$$

which has the following symbolic representation

$$\begin{aligned}
\tau^2 \Pi(\lambda, \theta)_{12341} \Big|_{\lambda=0} &= -\frac{1}{2} \left[\pi_{12341} + \pi_{12342} + \pi_{12341}^* + \pi_{12342}^* \right] \\
&= -\operatorname{Im}(\lambda + \theta)_{12341}
\end{aligned}
\tag{B-33}$$

4) we obtain the hermitian with respect to $\operatorname{Im} \lambda_{12}$ and $\operatorname{Re} \lambda_{41}$ as

$$\begin{aligned}
\tau^2 \Pi(\lambda, \theta)_{12341} \Big|_{\lambda=0} &= \\
&\operatorname{Re} \left[i \left\{ (\pi_{12}^* + \pi_{12'}) \pi_{41} (\pi_{41}^* - \pi_{41}) + (\pi_{41}^* - \pi_{41}) \pi_{41} (\pi_{12}^* - \pi_{12'}) \right. \right. \\
&\quad \left. \left. + \frac{1}{2} \left\{ (\pi_{12}^* + \pi_{12'}) (\pi_{41}^* + \pi_{41}) \pi_{41} + (\pi_{12}^* + \pi_{12'}) (\pi_{41}^* - \pi_{41}) \pi_{41} \right. \right. \right. \\
&\quad \left. \left. + \pi_{41} (\pi_{41}^* + \pi_{41}) (\pi_{12}^* - \pi_{12'}) + \pi_{41} (\pi_{41}^* - \pi_{41}) (\pi_{12}^* + \pi_{12'}) \right\} \theta^{(2)} \right]
\end{aligned}
\tag{B-34}$$

which may be written in symbolic form as

$$\begin{aligned} \left. \frac{\partial^2 H(A, B)}{\partial A_i \partial A_j} \right|_{A=B} &= \frac{1}{2} \{ A_{ij} + B_{ij} + A_{ij}^* + B_{ij}^* \} \\ &= -2H(A - B)_{ij} \end{aligned} \quad (2-24)$$

Thus we find that a complete singlet hessian consists of matrix elements of second order variations with respect to real components of A , and imaginary components of A , including complex variations which contain mixed components. For optimization procedures at the present stage use of only real variations of the singlet block and utilizes only equation (2-24) to obtain the hessian. Explicit expression for the hessian elements are included in appendix 1.

The complete hessian is blocked according to each type of spin symmetry variations such as singlet, triplet, etc. However, since we want to retain the singlet of the ground state, we do not use other blocks of the hessian. This restriction sometimes leads to problems regarding non-relativistic wave instabilities when generating triplet excitative energies. In the same way one can block the hessian according to spatial symmetries such as, say, Σ , Π etc. for linear molecules, and A , E etc. for atoms. A variation of the spin type retains the original symmetry of the molecule in its reference state, which is usually a singlet state. Other types of variations will produce an optimized state with the symmetry corresponding to the cross

product of the symmetry of the infinitesimal generators and that of the reference state. For example, generation of a symmetry acting on a single reference state would produce a K state. Since we only optimize our reference with respect to the single generators, 'instabilities' in non-sigma blocks of the propagator matrix may occur in calculations indicating that symmetry broken solutions are possible with lowering of energy.

The Excited States of The GGF

The excitation energies and the excited states of the GGF are obtained by the excitation operators as discussed before, and a particular set of N -particle operators known as the displacement operators. The basis set $\{q^{\mu}, q\}$ of one particle excitation operators may be defined to be the following,

$$\begin{aligned} q_{ij}^{(\pm)1} &= \kappa \, a_j \left(a_i^{\dagger} a_j + a_i^{\dagger} a_j \right) + v_k \left(a_j^{\dagger} a_k + a_j^{\dagger} a_l \right) \\ q_{ij}^{(\pm)2} &= \kappa \, a_j \left(a_i^{\dagger} a_j - a_i^{\dagger} a_j \right) + v_k \left(a_j^{\dagger} a_k - a_j^{\dagger} a_l \right) \end{aligned} \quad (2-36)$$

where the excitation constant κ is given by,

$$\kappa = \left(2(v_j - v_k)(v_j - v_l) \right)^{1/2} \quad (2-37)$$

where,

$$a_i = a_i^{\dagger} \quad a_i = c b(a_i^{\dagger} a_i + a_i^{\dagger} a_i) \quad (2-28)$$

The N-particle diagonal operators are essential for totally symmetric coupled excitations. These operators are of the following form :

$$Q_{ij}^{\pm} = (a_i^{\dagger} a_i - a_j^{\dagger} a_j) |0\rangle\langle 0| \quad 1 \leq i \leq k \quad (2-29)$$

The superscripts +, - in (2-29) refer to creation and annihilation operators. Since one may not write into an LFS(coupled) spinorbital the indices i and j are such that $1 \leq i \leq k$, and $1 \leq j \leq N$, and $a_j = 1$, for that $1 \leq j \leq N$ and $a_j = 0$, for $k+1 \leq j \leq N$. The adjoints of operators annihilate the reference state, $|0\rangle$,

$$\begin{aligned} a_{ij}^{\dagger} |0\rangle &= 0 \\ a_{ij} |0\rangle &= 0 \end{aligned} \quad \text{for all possible } i, j \quad (2-30)$$

While in practice, an exact propagator calculation using a complete set of operators is unfeasible, certain significant properties inherent in the optimized GMP function allow meaningful interpretation of the propagator with the above truncated set of operators. Weiner [8] has proved that, when a reference state satisfies the following conditions,

$$\langle \text{GSEP} | [B, R^{\dagger}T] | \text{GSEP} \rangle = 0 \quad (2-41)$$

and

$$\langle \text{GSEP} | [B, R] | \text{GSEP} \rangle = 0 \quad (2-42)$$

For all operators R, T belonging to an operator subset $\{T\}$, it is possible to construct a projected (model) Hamiltonian superoperator, \tilde{H}^T , which acting on the entire Hilbert space of operators, leaves the subspace spanned by the basis set of T invariant. In such a case, the eigenvalues and eigenstates obtained by the action of \tilde{H}^T and the full Hamiltonian on all such operators R, T are identical. If the reference state is a GSEP, the projection operator is defined as,

$$P = \sum_{i,j,k,l} a_{ij}^{\dagger} a_{kl} \langle \text{GSEP} | \text{GSEP} \rangle^{-1} a_{kl}^{\dagger} a_{ij} \langle \text{GSEP} | \text{GSEP} \rangle^{-1} \quad (2-43)$$

The GSEP function does not satisfy the relations,

$$\begin{aligned} \langle \text{GSEP} | [B, a_{1j}^{\dagger} a_{kl}^{\dagger}] | \text{GSEP} \rangle &= 0 \\ \langle \text{GSEP} | [B, a_{1j}^{\dagger} a_{kl}] | \text{GSEP} \rangle &= 0 \\ \langle \text{GSEP} | [B, a_{1j} a_{kl}] | \text{GSEP} \rangle &= 0 \end{aligned} \quad (2-44)$$

However, the optimized GSEP function does satisfy the generalized Brillouin condition,

$$\langle \text{GACP} | (\mathbf{R}, \mathbf{q}^T) | \text{GACP} \rangle = \langle \text{GACP} | (\mathbf{R}, \mathbf{q}) | \text{GACP} \rangle = 0 \quad (2-33)$$

and a very significant relation that was proved by Jansen, Muller and Orie [27], and simplified, Gosciniak, Smith and Klinder [44], is,

$$\langle \text{GACP} | \mathbf{q}_{ij} \mathbf{q}_{kl}^T | \text{GACP} \rangle = \delta_{ik} \delta_{jl} \langle \text{GACP} | \mathbf{q} | \text{GACP} \rangle \quad (2-34)$$

A consequence of the GACP fulfilling relations (2-33), (2-34), is that if the following propagators are constructed, based on the full Hamiltonian (2-27), and the model Hamiltonian (2-31),

$$\hat{\phi} = \begin{bmatrix} \langle \text{GACP} | (\mathbf{q}, (\mathbf{R}, \mathbf{q}^T)) | \text{GACP} \rangle & \langle \text{GACP} | (\mathbf{q}, (\mathbf{R}, \mathbf{q})) | \text{GACP} \rangle \\ \langle \text{GACP} | (\mathbf{q}^T (\mathbf{R}, \mathbf{q}^T)) | \text{GACP} \rangle & \langle \text{GACP} | (\mathbf{q}^T (\mathbf{R}, \mathbf{q})) | \text{GACP} \rangle \end{bmatrix} \quad (2-35)$$

$$\hat{\phi}' = \begin{bmatrix} \langle \text{GACP} | (\mathbf{q}, (\text{PRF}, \mathbf{q}^T)) | \text{GACP} \rangle & \langle \text{GACP} | (\mathbf{q}, (\text{PRF}, \mathbf{q})) | \text{GACP} \rangle \\ \langle \text{GACP} | (\mathbf{q}^T (\text{PRF}, \mathbf{q}^T)) | \text{GACP} \rangle & \langle \text{GACP} | (\mathbf{q}^T (\text{PRF}, \mathbf{q})) | \text{GACP} \rangle \end{bmatrix} \quad (2-36)$$

the diagonal blocks of $\hat{\phi}$ and $\hat{\phi}'$ are identical, so that the generalized TPA based on a model Hamiltonian is the same as that based on the full Hamiltonian. The subset of operators used to construct the GACP fulfill conditions expressed by (2-31,2-32). Consequently, it follows that if we consider the annihilation operators for the full GACP,

$$q_k^i = \sum_{j=1}^3 \left(v_{ij,j} q_{kj}^i + r_{ij,j} v_{ij} \right) \quad (3-48)$$

the lack of fulfillment of equations (3-42,3-43,3-44) can be attributed to the T-component of q^i in equation (3-48). These components contribute to the construction of the so block (off diagonal blocks) of the propagator. The generalized TBA has been applied to a range of chemical systems [42], with promising results. The extent of contribution due to the T-component has been tested on LiH , Li_2 , and H_2 . The results, which comprise the fourth chapter indicate that the norm of the vector T is virtually zero for these systems. This is convincing in establishing GQR as a good reference state for the Polarization Propagator.

CHAPTER THREE OPEN SHELL RAO

Functional Form

For simplicity we will concern ourselves, in this chapter, with reference states that have a single unpaired electron. Examples of this type are atomic metal atoms such as lithium, sodium etc., and molecules such as CH, ArH etc. Certain doublet excited states of the nitrogen atom may also qualify as a reference state for that system. Without loss of generality we will consider our reference function to have $\alpha_{\frac{1}{2}} = +1/2$. For clarity and convenience we will represent the orbital which is singly occupied in the reference state by ϕ_0 . The open shell RAO reference state is of the following form:

$$| \text{RAO} \rangle = (2S+1)^{-1/2} \phi_0 (S^z)^{1/2} (a_{1\frac{1}{2}}^{\dagger} a_{1\frac{1}{2}}^{\dagger} \dots a_{\frac{1}{2}+S}^{\dagger} a_{\frac{1}{2}+S}^{\dagger}) | \text{vac} \rangle \quad (3-1)$$

The calculation and the optimization of the reference state require the same formulas as in the closed shell case. The only minor difference occurs in the construction of the two matrix, where the diagonal element in the ϕ_0 -block, corresponding to ϕ_0 is zero, and all terms in the ϕ -block using ϕ_0 are also zero. Also while using equation (2-25) for obtaining the gradient elements and equation (2-28) to obtain the hessian elements, variations involving ϕ_0 are obviously zero.

Single particle excitations out of the doublet reference state may generate either a pure or mixed spin states which are the following:

- 1) A singlet excitation operator generates a doublet state,
- 2) a triplet excitation operator, yielding $\Delta m_s = 0$, generates a mixture of doublet and quartet states at $m_s = 1/2$,
- 3) a triplet excitation operator, yielding $\Delta m_s = 1$, will generate a pure quartet state with $m_s = 1/2$,
- 4) a triplet excitation operator, producing $\Delta m_s = -1$, will generate mixed doublets and quartets at $m_s = -1/2$.

While one could choose case 1) and 3) to obtain pure spin states an additional complication arises for doublet excited states-- A singlet excitation operator will essentially generate those unpaired electrons out of the open shell GDM (14), with $m_s = 1/2$. In each case, the doublet manifold is two-dimensional (45), and any linear combinations of two linearly independent spin functions from this space are eigenfunctions of S^2 . Either a branching diagram scheme (46) or Young tableaux diagrams (46) may be used to construct the proper eigenfunctions. Possible functions that span the spin space are

$$1) \quad \Phi_{11,1} = [\phi_a \phi_b \phi_j] - [\phi_a \phi_j \phi_b] \quad (3-3a)$$

$$2) \quad \Phi_{11,0} = \frac{1}{2}[\phi_a \phi_b \phi_j] - [\phi_a \phi_j \phi_b] - [\phi_a \phi_j \phi_b] \quad (3-3b)$$

for all possible i, j

The above functions separately illustrate the situation for the formation of excited states since the full excited state may be constructed by an antisymmetrized product of the [wave (2-1)], with orbitals ϕ_2 , ϕ_1 , and ϕ_j deleted from it, and an appropriate combination of the above functions, (2-2a) and (2-2b). It is to now realized that our singlet excitation operator used in the previous chapter, equation (2-28), when allowed to act on the restricted doublet GMP reference will yield (2-2a). Furthermore, it is also quite readily seen that the second function (2-2b) cannot be obtained by any one particle excitation operation from the same reference state, since the first determinant in (2-2b) requires simultaneous replacement of two spin orbitals by two others which include replacing ϕ_2 by ϕ_j . In order to have functions of the form (2-2b), we need to introduce a new set of excitation operators that include certain types of two particle operators. Thus the excitation operators required to obtain the two sets of doublets are of the type,

$$1) \quad Q_{1j}^{(2,1)+} = \phi_j(a_1^\dagger a_j + a_j^\dagger a_1) + \phi_1(a_2^\dagger a_1 + a_1^\dagger a_2) \quad (2-2c)$$

$$2) \quad Q_{1j}^{(2,2)+} = 2\phi_j(a_1^\dagger a_2 a_1^\dagger a_j) + 2\phi_1(a_2^\dagger a_1 a_1^\dagger a_j) \\ - \phi_j(a_1^\dagger a_j - a_j^\dagger a_1) + \phi_1(a_2^\dagger a_1 + a_1^\dagger a_2) \quad (2-2d)$$

The triplet excitation operator which produces the high spin quartet ($s_z = 3/2$), is of the following form,

$$a_{ij}^{(2)} = a_j a_i^{\dagger} a_j + a_i a_j^{\dagger} a_i \quad (3-4)$$

Before launching into the algebra of this new set of operators let us investigate the possibility of not interchanging the second doublet function (3-2b). The Hamiltonian expectation value between two functions from the subspace of the functions (3-2a, b-2b) is

$$\langle \Phi_{ab,2} | \hat{H} | \Phi_{cd,1} \rangle = \langle \Phi_{cd,1} | \hat{H} | \Phi_{ab,2} \rangle \quad (3-5)$$

which may be proved using the second quantized operators. We have in particular, that

$$\begin{aligned} & \langle \Phi_{ab,2} | \left(\eta_a (a_a^{\dagger} a_b^{\dagger} a_a a_b + a_a^{\dagger} a_a + a_b^{\dagger} a_b) + \eta_b (a_b^{\dagger} a_a^{\dagger} a_b a_a + \right. \\ & \left. (a_b^{\dagger} a_a + a_a^{\dagger} a_b)) \right) \Phi_{cd,1} \left(\eta_c (a_c^{\dagger} a_d^{\dagger} + a_d^{\dagger} a_c) + \eta_d (a_d^{\dagger} a_d + a_c^{\dagger} a_c) \right) \rangle_{\text{norm}} \\ & = \sum_{i,j,k,l} \left((n-1)^{-1} (k_{ik} k_{jl} + k_{il} k_{jk} + k_{jd} k_{li} + k_{ji} k_{lk}) + \delta_{ij} \delta_{kl} \right) \times \\ & \langle \Phi_{ab,2} | \left(\eta_a (a_a^{\dagger} a_b^{\dagger} a_a a_b + a_a^{\dagger} a_a + a_b^{\dagger} a_b) + \eta_b (a_b^{\dagger} a_a^{\dagger} a_b a_a + \right. \\ & \left. (a_b^{\dagger} a_a + a_a^{\dagger} a_b)) \right) \eta_c^{\dagger} a_c^{\dagger} a_l \eta_d \left(\eta_c (a_c^{\dagger} a_d^{\dagger} + a_d^{\dagger} a_c) + \eta_d (a_d^{\dagger} a_d + a_c^{\dagger} a_c) \right) \rangle_{\text{norm}} \end{aligned}$$

$$\begin{aligned}
&= \eta_{\alpha}\eta_{\beta}\left\{\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger})^2\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\right. \\
&\quad \left.- \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\}(\cos\theta+i_{\alpha\beta})\right\} \\
&= \langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger})^2\hat{a}_{\alpha}\hat{a}_{\beta}-\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}(\cos\theta+i_{\alpha\beta})\}) \\
&\quad -\eta_{\alpha}\eta_{\beta}\left\{\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha\beta}^{\dagger})^2\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\right. \\
&\quad \left.+ \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\}(\cos\theta+i_{\alpha\beta})\right\} \\
&\quad -\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha\beta}^{\dagger})^2\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}-\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}(\cos\theta+i_{\alpha\beta})\}) \\
&\quad -\eta_{\alpha}\eta_{\beta}\left\{\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha\beta}^{\dagger})^2\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\right. \\
&\quad \left.+ \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\}(\cos\theta+i_{\alpha\beta})\right\} \\
&\quad -\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha\beta}^{\dagger})^2\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}-\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}(\cos\theta+i_{\alpha\beta})\}) \\
&\quad +\eta_{\alpha}\eta_{\beta}\left\{\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\cos\theta\{(\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger})^2\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta} + \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\right. \\
&\quad \left.- \hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}\}(\cos\theta+i_{\alpha\beta})\right\} \\
&\quad +\langle\delta\alpha|\langle\delta\beta|(\cos\theta\{(\hat{a}_{\alpha\beta}^{\dagger})^2\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}-\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}^{\dagger}\hat{a}_{\beta}^{\dagger}\hat{a}_{\alpha}\hat{a}_{\beta}\hat{a}_{\alpha}\hat{a}_{\beta}(\cos\theta+i_{\alpha\beta})\}) \\
&\quad \quad \quad (3-6)
\end{aligned}$$

for all η_{α} , η_{β} , η_{α} and η_{β} . In order for equation (3-6) to be zero, either all the two element integrals must be zero or all the second, third and fourth order density matrix elements left after evaluation of the bracketed delta functions must vanish, as the products must cancel. This is not in general possible as these density matrix elements are previously those which are non-zero (see chapter two) and the integrals vary from problem to problem. Equation (3-6) is therefore in general non-zero. The above proof implies that the hamiltonian is not block diagonalized according to each set of functions $\{\eta_1\}$, $\{\eta_2\}$. True excited states obtained by

Diagonalizing the Hamiltonian matrix of the above type would then in general be a linear combination of the functions ψ_1 and ψ_2 . If the two sets of functions did not interact through the Hamiltonian, then each set of eigenvalues and eigenfunctions, obtained by diagonalizing the Hamiltonian would be independent of the other set. This would have meant finding certain eigenvalues by not considering both sets but not affect the accuracy of the results. Because of equation (3-6), eigenvalues obtained with any one set of operators will not necessarily correspond to proper excited states of the system.

GVBA And GVBK For Predict Excited States

Obtaining the quartet excited states is quite similar to obtaining triplet excited states in the case of coupled reference states and therefore will not be included in the following discussion. To carry out a GVBA calculation for the doublet states we will need to consider the following the type of integrals,

$$i) \langle \text{core} | [a^{1B_1}] , [a_0 , a^{1B_2}]] | \text{core} \rangle \quad (3-7)$$

$$ii) \langle \text{core} | [a^{1B_1}] , [a_0 , a^{1B_2}]] | \text{core} \rangle \quad (3-8)$$

$$iii) \langle \text{core} | [a^{1B_2}] , [a_0 , a^{1B_1}]] | \text{core} \rangle \quad (3-9)$$

$$iv) \langle \text{core} | [a^{1B_1}] , [a_0 , a^{1B_2}]] | \text{core} \rangle \quad (3-10)$$

The excitation energies may be obtained by diagonalizing the entire matrix. While reviewing the consistency of the GSC, the following problems are encountered. In order that we may exclude the reference state from our excited state calculations, the excited states generated by (3-1a) and (3-2a) must satisfy the generalized Brillouin condition, i.e.

$$\langle \text{GSC} | [x_0 + c_1 \hat{Q}^{(D_1)^{1a}} + c_2 \hat{Q}^{(D_2)^{1a}}] | \text{GSC} \rangle = 0 \quad (3-11)$$

where c_1 and c_2 are expansion coefficients corresponding to excited states. The stationarity condition imposed on the GSC is obtaining the optimized reference state means that it satisfies the generalized Brillouin condition with respect to the first double excitation operator, i.e.

$$\langle \text{GSC} | [x_0 + \hat{Q}^{(D_1)^{1a}}] | \text{GSC} \rangle = 0 \quad (3-12)$$

which then leaves us to require if

$$\langle \text{GSC} | [x_0 + \frac{1}{2} \hat{Q}^{(D_2)^{1a}}] | \text{GSC} \rangle = 0 \quad (3-13)$$

Expanding the left-hand side of (3-13), we obtain

$$\begin{aligned}
& \langle \text{GMP} | \left(\sum_{k=1}^{L-1} \alpha(k) (a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger a_5^\dagger a_6^\dagger + a_6 (a_5^\dagger a_4^\dagger a_3^\dagger a_2^\dagger a_1^\dagger - (a_4^\dagger a_5 - a_5^\dagger a_4)) \right) \\
& + a_6 (a_5^\dagger a_4^\dagger a_3^\dagger a_2^\dagger a_1^\dagger - (a_4^\dagger a_5 - a_5^\dagger a_4)) | \text{GMP} \rangle \\
& + 4 a_6 \cos(k) | \text{GMP} \rangle \langle \text{GMP} | a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger a_5^\dagger a_6^\dagger - a_6^\dagger a_5^\dagger a_4^\dagger a_3^\dagger a_2^\dagger a_1^\dagger | \text{GMP} \rangle \\
& + 4 a_6 \cos(k) | \text{GMP} \rangle \langle \text{GMP} | a_1^\dagger a_2^\dagger a_3^\dagger a_4^\dagger a_5^\dagger a_6^\dagger - a_6^\dagger a_5^\dagger a_4^\dagger a_3^\dagger a_2^\dagger a_1^\dagger | \text{GMP} \rangle \\
& = 0
\end{aligned} \tag{3-14}$$

Since the generalized Brillouin condition is not satisfied, a GMP calculation would be pointless unless the optimized GMP function was included in the propagator matrix for obtaining excited states. This would essentially lead to no idealization and well defined reference state. This lack of any formal consistency leads us to look for better approximations.

We have considered two different methods of approximating this open shell calculation using the GMP formalism. 1) The first one is to approximate the entire generalized BSA matrix using excitation operators (3-14a and 3-14b). The results of the GMP should be comparable to those of the typical BSA calculations based on an BSA. The necessary propagator elements for this approach have been derived but still need to be computer coded. The matrix elements are included in Appendix 3. 2) In the second approach, a consistent GMP is constructed using only the first set of doublets (a_1). These states fulfill all the conditions that were outlined for closed shell GMP done in the previous chapter. It is assumed that the mixing of the two sets of functions (a_1)

and $|r_2|$ is small and that the eigenvalues and eigenvectors will not be affected too much by this approximation. In this particular function, we need to include in the set of excitation operators $\{\hat{Q}_{ij}^{\pm}(a_1)\}$, at equation (3-3a), the excitation operator \hat{Q}_{a1}^{\pm} , which involves excitations of the open shell spin orbital.

$$\hat{Q}_{a1}^{\pm}|a\rangle = (1 - (1 - r_2|a_2|/2)^{1/2})(a_2^{\pm}a_a - a_1^{\pm}a_2^{\pm}) \quad (3-33a)$$

$$\hat{Q}_{aa}^{\pm}|a\rangle = a_a^{\pm}a_a \quad (3-33b)$$

The adjoints of the above operators annihilate the exact function.

We applied STOS to the Li atom, using a 3s 3p 4D0 basis set [48] and a larger basis set, obtained by representing the 3s basis set with a diffused d Gaussian type function. The results for the first three excitation energies are presented. We compare our results with experimental findings [49], and also other theoretical calculations, using the propagator approach. These include calculations by Bernier and Jorgensen [18], who have used 18 STO's to evaluate the first seven excitation energies. They have used both the HF-RPA [50a], and the second order polarization propagator approach [50b] to obtain their results. In the second calculation, the two-particle two-hole propagator elements required in the generation of the degenerate set of doublet

states (3-16), was incorporated as a second order perturbative correction to the VWF. The results indicate the effect of this correction to be quite small. The first three excitation energies calculated by MP-PM are quite accurate. However to obtain higher excitation energies one must use larger basis sets. The higher 2A excitation energies can also be further improved by the inclusion of the n -particle diagonal operators, equation (3-41), which is now in the process of being coded. Tables 1 and 2 present the basis sets used, and table 3 contains the $4d$ results.

TABLE 1. Li Series (1)
 Li CNO nuclei

<u>TYPE</u>	<u>Segment</u>	<u>Coefficients</u>
Li _n s	101-200	0.401167
	100-700	0.403100
	10-2000	0.203050
	0-500	0.100701
	0-100	0.101004
	1-1070	0.401007
Li _n s	0-4000	1.00000
Li _n s	0-50000	1.00000
Li _n s	0-50000	1.00000
Li _n p	1-400	0.00000
	0-5000	0.00000
	0-57000	0.00000
Li _n p	0-6000	1.00000

TABLE 3
Li Beale 120
18 COTS Beale

Type	Argument	Coefficient
Li,s	901.000	0.001307
	100.700	0.002420
	51.0000	0.003059
	9.000	0.100701
	1.100	0.300004
	1.1070	0.420097
Li,s	0.0000	0.00000
Li,s	0.00000	0.00000
Li,s	0.00000	0.00000
Li,p	1.000	0.00077
	0.0007	0.300307
	0.00001	0.000400
Li,p	0.0007	0.00000
Li,d	0.1000	0.00000

TABLE 3-14. Mean Excitation Energies (eV)

	$2p \rightarrow 2p$	$2s \rightarrow 2s$	$3p \rightarrow 3p$
ADP-TGA(H)	1.833	3.384	4.399
ADP-TGA(L)	1.863	3.393	4.311
TORF	2.827	3.354	3.385
SOPH	2.834	3.348	3.890
EXPT.	2.847	3.371	3.823

CHAPTER FOUR
ACP-DM, ACP-DM, Matrix Elements
and Conclusions

Potential energy surfaces for ground and excited states, and other spectroscopic properties such as transition moments, and radiative lifetimes for LiH and Li_2 have already been successfully produced by ACP-TDA [44,45]. There is virtually complete agreement of the shapes of curves produced by ACP-TDA for LiH with extensive MRCF calculations [56]. The Li_2 results are also very impressive and compare well with experimental findings as well as the leading theoretical calculations (referenced in [48]). However, the strength of ACP as a reference function would not be fully understood without similar calculations using ACP-DM. The contributing terms of the "A" block (following the notation from Chapter one) are the following

$$\begin{aligned}
 1) & \langle 0 | \hat{q}_{1,j} \hat{q}_{n1}^T | 0 \rangle \\
 & \text{for all possible } 1, j, k, l \quad (4-1) \\
 2) & \langle 0 | \hat{q}_{1,j} \hat{q}_{n1}^T \hat{A} | 0 \rangle
 \end{aligned}$$

The first term is the Hamiltonian expectation value between two single particle excitations out of the RMPF. The second term, by virtue of the equality that was proved by Jensen, Weiss and Shao [27] and Sieglitz, Harris, Glusker and Smallegange [54], is a constant quantity and is equal to the reference state energy for the diagonal elements and zero

for the others. The AEP-MB, which is equivalent to evaluating the A block of the propagator is therefore essentially a non-excited CI matrix which on diagonalization will yield energy differences between ground and excited states (which are also eigenstates of the Hamiltonian). The contribution to the B block of the propagator arises from the following term:

$$1) \langle 0 | \hat{q}_{1j} \hat{q}_{2k} \hat{H} | 0 \rangle \quad (B-2)$$

which represents interaction of the reference state with two particle excitations out of the reference through the Hamiltonian. The B block which is included in the MB matrix constitutes a measure of the contamination of the reference into the excited states. In the following sections the comparative results for AEP-MB and MB-MB for NO_2 , Li_2 and H_2 are presented together with similar calculations based on a HF reference state. Since the computer codes for evaluating the diagonal operators have not been developed yet for the MB scheme we have excluded those from MB calculations as well for proper comparisons.

Potential Energy Surfaces (Li_2 and Li_2^+)

The low lying singlet and triplet excited states together with the reference are portrayed in figures 1-4. For both species, MB based MB and AEP do not exhibit any

detectable differences, and for each state the curves are completely superimposed. The weakly bound (a well of .018 ev) characteristic of the $h\ ^1\Sigma$ state of LiH , as predicted by experiment [50], is not yielded by the ADF with this basis set. However, the HF-RPA, HF-TCA, predicts quite a deep well of 1.8 ev. While both singlet excited state curves of LiH in the HF picture seem to rise at large internuclear distances, the shape of the $h\ ^1\Sigma^+$ is slightly improved by the HF-RPA. The $h\ ^1\Sigma$ and $h\ ^3\Sigma$ of LiH are predicted to have avoided crossings by both ADF and HF, however the HF-RPA calculations run into a serious stability problem for the triplet states (discussed in chapters one and two) and the $h\ ^1\Sigma$ state breaks down completely. An identical trend is observed for the Li_2 molecule. The shape of the $h\ ^1\Sigma_g^+$ state is slightly improved as before by the HF-RPA over HF-TCA. The triplet instabilities are just as pronounced for Li_2 as in LiH and as a result of this the HF-RPA curve for the $h\ ^1\Sigma_g^+$ state cannot be plotted. Thus, comparing ADF and HF results for the triplet states show that a noticeable improvement has been achieved for the ADF regarding stability. We also present in tables 6 and 7, numerical results indicating the effect of the RPA in comparison to TCA. In these tables ϵ denotes the excitation energy between the ground and excited states.

TABLE 4.
 10 CO2 BASIS

Type	Segment	Coefficient
L, s	443-4549	0.00000
	50-79410	0.00431
	23-20100	0.00710
	4-38147	0.04579
	1-70510	0.47000
	0-43874	0.10540
L, s	3-15146	0.00350
	0-50413	0.10100
L, s	0-27489	1.00000
L, s	0-20867	1.00000
L, s	0-20510	1.00000
L, p	3-15146	0.00000
	0-50413	0.10100
	0-27489	0.74500
L, p	0-20860	1.00000
R, s	407-10	0.000110
	121-704	0.000000
	27-7043	0.000000
	3-70404	0.000000
	0-70420	0.170000
R, s	0-872140	1.00000
R, s	0-155834	1.00000
R, s	0-20010	1.00000
R, p	3-1175	1.00000
R, p	0-77	1.00000
R, p	0-20	1.00000
R, s	1-00000	1.00000

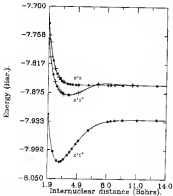


Figure 1. LiH Molecule (RPA, TBA Calculation with ACP)

• TBA Calculation

• RPA Calculation

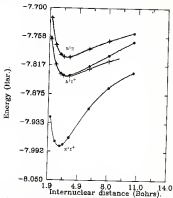


Figure 2. SiS₂ Si-Si-Si (RPA, TBA Calculation with RPA)

- TBA Calculation
- RPA Calculation

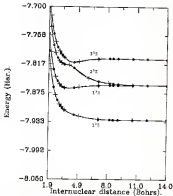


Figure 1. LiH triplet States (80%, TBA Calculation with ADF)

- TBA Calculation
- MPA Calculation

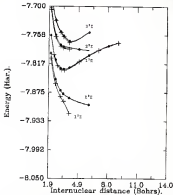


Figure 4. LiH Triplet states (RPA, VCA, Calculation with σ^2)

- VCA Calculation
- RPA Calculation

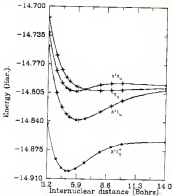


Figure 5. Li_2 Singlet States: RPA, TCS Calculation with AMI

- TCS Calculation
- RPA Calculation

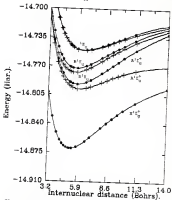


Figure 4. Li_2 Singlet States (RPA, TBA Calculation with HF)

- TBA Calculation
- + RPA Calculation

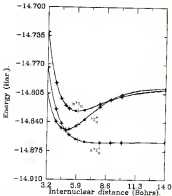


Figure 7. Li_2 Triplet State (TDA, TDA Calculation with ACP)

- TDA Calculations
- TDA Calculations

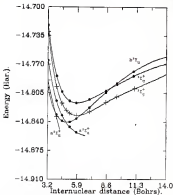


Figure 8. Li_2 Triplet States (RPA, VCA Calculation with HF)

- VCA Calculation
- RPA Calculation

As pointed out in chapter two, the contribution of the 'A' block of the RPA is proportional to the norm of the vector γ , (2-41). We therefore estimate the extent of consistency of the RSP reference state by 1) the collinearity of the vector γ and the z ; the relative magnitude of matrices 'A' and 'B' is the magnitude of the ratio of the traces of the squares of matrices A and B. This ratio indicates that the dominant (dispersal) contributions of the 'A' block are several orders of magnitude higher than those of the 'B'. For K_{10} , this ratio is larger in RSP than in RF for all the symmetry blocks. The results, though calculated only for the equilibrium geometry of the ground state, are typical of the entire surface. The norm of the vector γ is virtually vanishing for the RSP indicating in these cases that the RSP is indeed a consistent reference function. It is interesting to note that the 1A_g state of K_2 has the ratio of the traces of the squares of A and B, larger for RF than for RSP, which suggests that the relative contribution of B matrix is smaller in RF for this symmetry block. However the norm of γ vector in RSP is much smaller, which is also reflected in the separation of the plotted RSP-RPA, RSP-RPA curves.

TABLE 6. Comparisons of
 AEP-SPA, SP-SPA For LIP At 1.1 Below

Type	$\alpha(\text{m})$	σ^2_L	σ^2_T	$\frac{T_0 - T_1}{T_0 - T_2} \frac{A}{B}$	
$\frac{1}{2} \frac{1}{2}$	AEP	1.11	1.000000	0.000000	3.23×10^{-4}
	SP	1.05	1.001421	0.001421	3.05×10^{-3}
$\frac{1}{2} \frac{3}{2}$	AEP	1.53	1.000001	0.000001	3.23×10^{-4}
	SP	0.42	1.000130	0.000130	3.05×10^{-3}
$\frac{1}{2} \frac{5}{2}$	AEP	0.04	1.000001	0.000001	3.23×10^{-4}
	SP	0.15	1.003411	0.003411	3.05×10^{-4}
$\frac{3}{2} \frac{1}{2}^+$	AEP	1.01	1.000000	0.000000	3.23×10^{-4}
	SP	1.07	1.003109	0.003109	3.05×10^{-3}
$\frac{1}{2} \frac{3}{2}$	AEP	4.11	1.000001	0.000001	3.06×10^{-3}
	SP	4.15	1.000425	0.000425	3.43×10^{-4}
$\frac{3}{2} \frac{1}{2}$	AEP	4.98	1.000000	0.000000	3.25×10^{-3}
	SP	5.04	1.001455	0.001455	3.43×10^{-4}

TABLE 7. Comparison of
 ADF-ATA, BP-ATA For λ_2 At 5.5 Nodes

Type	$m(m)$	E^T_A	E^T_Y	$\frac{Y_{\text{ATA}} - Y_{\text{BP-ATA}}}{Y_{\text{ATA}} - Y_{\text{BP-ATA}}}$
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.17 1.04145	1.000013 1.000013	0.000013 0.000013	4.78×10^{-3}
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.12 1.12	1.000003 1.177031	0.000003 0.177031	4.04×10^{-3} 1.76×10^{-3}
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.43 1.06	1.000017 1.037826	0.000017 0.037826	1.34×10^{-3} 1.76×10^{-3}
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.46 1.04145	1.000003 1.000003	0.000003 0.000003	8.48×10^{-3}
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.78 1.66	1.000004 1.030127	0.000004 0.030127	3.63×10^{-3} 1.06×10^{-3}
$\frac{1}{2} \frac{1}{2} \frac{1}{2}$ ADF BP	1.63 1.43	1.000005 1.001554	0.000005 0.001554	3.38×10^{-3} 9.37×10^{-3}

Transition Moments

The lifetime of electronic excitations is a particularly useful quantity since it cannot be measured experimentally very easily. For the calculation of lifetimes one needs to know the electronic transition moments, and these are quite easily calculated in the propagator formalism [44]. The transition dipole μ_{ik} between the ground and an excited state k , is given by

$$\mu_{ik} = \langle 0 | \mathbf{r} | k \rangle \quad (4-4)$$

where \mathbf{r} is the dipole length vector. Equation (4-4) may be rewritten using the excitation operators as

$$\mu_{ik} = \sum_{l,j} c_{lj}^k \langle 0 | \mathbf{r} | Q_{lj}^+ | 0 \rangle \quad (4-5)$$

$$= \sum_{l,j} c_{lj}^k \langle 0 | \mathbf{r} | Q_{lj}^+ | 0 \rangle \quad (4-6)$$

where c_{lj}^k are expansion coefficients for state k . Equation (4-6) is possible when the various conditions are satisfied. We may express the dipole vector \mathbf{r} as

$$\mathbf{r} = \sum_{mn} c_{mn} (Q_{mn}^+ - Q_{mn}) (\mathbf{r}_n - \mathbf{r}_m)^{-1} \quad (4-7)$$

where r_{mn} is the dipole moment between orbitals m and n . Substitution of (4-7) into (4-4) leads to a very simple expression for the transition moment,

$$\mu_{0k} = \sum_{i,j} c_{ij}^k r_{ij} / (v_j - v_0) (S_j - S_0)^{-1/2} (v_j - v_0) \quad (4-8)$$

We only calculate the ground-excited state dipole moments and not excited-excited state dipole moments. The excited-excited state transitions can also be handled in the SOP-TDA formalism, but one might question the corresponding RPA calculations since the excited states are only well-defined when the \hat{H} -matrix is small. The shapes of the L_{00} and L_{12} transition moment curves are in excellent agreement with other leading RMPR calculations, whereas the M results are quite inadequate, even though the EP-RPA is somewhat better than the EP-TDA.

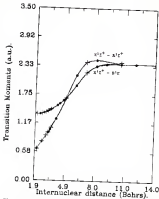


Figure 9. $2^3\Sigma^+ - 2^1\Sigma^+$ and $2^1\Sigma^+ - 2^1\Sigma$ Transition Moments (RPA, RPA Calculations with ACP)

- RPA Calculations

+ RPA Calculations

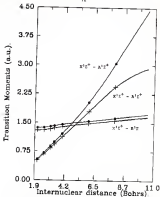


Figure 18. LSF Transition Moments (RPA, TDA Calculations with SF)

- TDA Calculation
- RPA Calculation

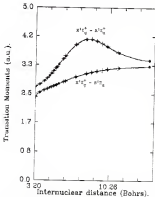


Figure 13. $1A_g$ Transition Moments: OPA, TDA Calculation with MP2

- TDA Calculation
- OPA Calculation

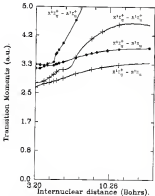


Figure 11. Li_2 Transition Moments (WPA, STP Calculation with STP)

- WPA Calculation

+ STP Calculation

3c. Results

Using a 4s 4p 4d basis (Table I), we compute the first two excitation energies for 1P and 3P symmetries for both singlets and triplets. The agreement of the ACP results with experimental values [32] is rather good and there are no instabilities. The results for 1P are in fact much improved with the inclusion of diagonal operators, (2-11) and yield an excitation energies of 4.31 and 8.000 ev. The agreement of the ACP-DSR and ACP-RPA is also reflected by the dissimilarity norm of P and large ratio of the traces of squares of A and B . The ACP calculation results for transition probability compares very well with experiment [33]:

Basis B₁B₂ Basis

17 COPS Basis

Type

Expression

Coefficient

B₁, d
$$\begin{aligned}
 &1740,000 \\
 &840,000 \\
 &40,000 \\
 &17,000 \\
 &0,000 \\
 &0,000
 \end{aligned}$$

$$\begin{aligned}
 &0,000000 \\
 &0,000000 \\
 &0,000000 \\
 &0,000000 \\
 &0,000000 \\
 &0,000000
 \end{aligned}$$
B₁, d

1,000

1,00000

B₁, e

0,000

1,00000

B₁, d

0,00000

1,00000

B₁, d

0,00000

1,00000

B₁, e

0,000

1,00000

B₁, g
$$\begin{aligned}
 &0,000 \\
 &0,000 \\
 &0,000 \\
 &0,000
 \end{aligned}$$

$$\begin{aligned}
 &0,00000 \\
 &0,00000 \\
 &0,00000 \\
 &0,00000
 \end{aligned}$$
B₁, g

0,00000

1,00000

B₁, g

0,000

1,00000

B₁, d

1,00000

1,00000

B₁, d

0,00000

1,00000

TABLE 3. ADF, HF excitation energies (eV) for Zn (6 states)

	ADF		HF		exp
	Tot.	orb.	Tot.	orb.	
$3s$	8.82	8.53	8.81	8.57	8.85
$3s^2 3p$		0.000000		0.000000	
$3p$	8.82	8.42	7.16	7.25	8.00
$3p^2 3s$		0.000000		0.000000	
$3p^2 3s^2 3d$ $3p^2 3s^2 4s$		0.79×10^{-4}		0.80×10^{-4}	
$3d$	7.08	7.05	6.36	6.34	6.70
$3d^2 3s$		0.000000		0.000000	
$3d$	8.20	8.13	7.34	7.32	8.20
$3d^2 3s$		0.000000		0.000000	
$3d^2 3s^2 3d$ $3d^2 3s^2 4s$		0.48×10^{-4}		0.51×10^{-4}	

TABLE 22-ACP, HF Excitation Energies (eV) For $\pi\pi^*$ States

	ACP		HF		Exp
	TSA	STSA	TSA	STSA	
I_F	2.74	2.78	2.78	2.84	2.75
$\pi^*\pi$		0.440000			
I_F	7.34	7.31	6.44	6.43	7.29
$\pi^*\pi$		0.440000		0.001001	
$\frac{E_1 - E_2}{E_1 - E_0}$		0.13×10^{-7}		0.48×10^{-4}	
I_F	5.48	5.48	5.48	5.54	5.28
$\pi^*\pi$		0.000004		0.005000	
I_F	7.48	7.48	6.42	6.77	7.48
$\pi^*\pi$		0.000001		0.000000	
$\frac{E_1 - E_2}{E_1 - E_0}$		0.07×10^{-4}		0.48×10^{-4}	

TABLE II. AGP, SF Results For 30 (Transition Element)

	AGP		SF		EXP
	TCR	SPA	TCR	SPA	
AGP, Fe, Mon.	1.38	1.48	2.18	1.58	
1 Eq. of AGP, Fe, Mon.	18.48	18.48	15.18	12.78	18.87 8.18

APPENDIX I GRADIENT, HESSIAN AND PROPAGATION ELEMENTS OF CLOSED ORBITAL CASE

The different types of gradient elements are,

$$\begin{aligned} \left[r_{\lambda}^{(1)}(0,0) \right]_{kl} &= 4 \sum_{j=1}^N \left\{ (R_{\lambda}^{(1)})_{k,j} \left[(x_{\lambda}^{(21)})_{jl} - (x_{\lambda}^{(21)})_{kl} \right] \right. \\ &\quad \left. + (R_{\lambda}^{(1)}(k))_{jl} \left[(x_{\lambda}^{(21)})_{jl} - (x_{\lambda}^{(21)})_{kl} \right] \right\} \\ &\quad + \sum_{j=2N+1}^{\frac{1}{2}(N-2k)} (R_{\lambda}^{(1)}(k))_{jl} \left[(x_{\lambda}^{(21)})_{jl} - (x_{\lambda}^{(21)})_{kl} \right] \end{aligned}$$

for $1 \leq k, l \leq 2N$, and,

$$\begin{aligned} \left[r_{\lambda}^{(2)}(0,0) \right]_{kl} &= 4 \sum_{j=1}^N \left\{ (R_{\lambda}^{(2)}(k))_{jl} \left[(x_{\lambda}^{(22)})_{jl} - (x_{\lambda}^{(22)})_{kl} \right] \right. \\ &\quad \left. + \sum_{j=2N+1}^{\frac{1}{2}(N-2k)} (R_{\lambda}^{(2)}(k))_{jl} \left[(x_{\lambda}^{(22)})_{jl} - 1 \right] \right\} \end{aligned}$$

for $2N+1 \leq k \leq 2N+(N-2k)$ and $1 \leq l \leq N$, and,

$$\left[r_{\lambda}^{(3)}(0,0) \right]_{kl} = 0$$

for $2N+1 \leq k, l \leq 2N+(N-2k)$.

The propagator matrix, since Γ_{atom} in the GDA, may be expressed using the following notation. Let

$$E_{\pm} = (\sigma_y \sigma_z + \sigma_x \sigma_x) \quad ; \quad E_{\pm} = (\sigma_y \sigma_z + \sigma_x \sigma_x)$$

we have,

$$\begin{aligned} 1) \quad \chi_{\text{atom}}^{(2)} &= \langle \text{atom} | \text{atom} | (E_+ + E_-) \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \\ &\quad + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z | \text{atom} \rangle \\ &+ 2 \langle \text{atom} | \text{atom} | (E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \\ &\quad + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) - E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle | \text{atom} \rangle \\ &+ 2 \langle \text{atom} | \text{atom} | (E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \\ &\quad + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) - E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle | \text{atom} \rangle \\ &- \sum_{\text{atom}} \left\{ \langle \text{atom} | \text{atom} | (E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle \right. \\ &\quad \left. - E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) + 2 \langle \text{atom} | \text{atom} | (E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) + 4_{\text{atom}} \right. \\ &\quad \left. - \langle \text{atom} | \text{atom} | (E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) \right. \\ &\quad \left. - E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle | \text{atom} \rangle + 2 \langle \text{atom} | \text{atom} | (E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) + 4_{\text{atom}} \right. \\ &\quad \left. - \langle \text{atom} | \text{atom} | (E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) \right. \\ &\quad \left. - E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle | \text{atom} \rangle + 2 \langle \text{atom} | \text{atom} | (E_+ \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) + 4_{\text{atom}} \right. \\ &\quad \left. - \langle \text{atom} | \text{atom} | (E_- \langle \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z - \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z + \sigma_x^2 \sigma_z^2 \sigma_y \sigma_z \rangle) \right. \end{aligned}$$

$$\begin{aligned}
\langle 1| \hat{H} | a_a^\dagger a_b^\dagger a_{2a} a_{2b} \rangle &= 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \\
&+ (1+\cos|\cos\rangle \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&= \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + 2(\cos|\cos\rangle \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \rangle \\
2) \quad P_{\text{absorb}}^{(1,2)} &= \\
2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} \\
&+ a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&+ 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} \\
&+ a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&= \sum_{\alpha} \left\{ (\cos|\cos\rangle \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \right. \\
&+ \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \\
&= (1+\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&= \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \\
&= (1+\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&= \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \\
&= (1+\cos|\cos\rangle \hat{E}_1 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} + a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle \\
&= \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle + 2(\cos|\cos\rangle \hat{E}_2 \langle 1| a_a^\dagger a_b^\dagger a_{2a} a_{2b} - a_a^\dagger a_b^\dagger a_{2a} a_{2b} | 2 \rangle | \hat{H}_{\text{red}} \rangle
\end{aligned}$$

APPENDIX I
OTHER SMALL AND MATRIX ELEMENTS

The types of matrix elements that are required to be evaluated may be split into two sections, i.e.,

'A' block

$$\begin{aligned} \text{A1)} \quad & \langle B | (v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12}^{\dagger}) (x_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12} \\ & + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{A2)} \quad & \langle B | (v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) (x_{12} + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) \\ & + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{A3)} \quad & \langle B | (v_{12} a_{12}^{\dagger} a_{12}^{\dagger} x_{12} a_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} x_{12}) (x_{12} + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) \\ & + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{A4)} \quad & \langle B | (v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) (x_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12}^{\dagger} \\ & + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{A5)} \quad & \langle B | (v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12}^{\dagger}) (x_{12} + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) \\ & + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) | I \rangle \rangle \end{aligned}$$

'B' block

$$\begin{aligned} \text{B1)} \quad & \langle B | (v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12}^{\dagger}) (x_{12} + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12} a_{12} \\ & + v_{12} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}^{\dagger} a_{12}) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{B2)} \quad & \langle B | (v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) (x_{12} + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12}) \\ & + v_{12} (x_{12}^{\dagger} x_{12} - x_{12}^{\dagger} x_{12})) | I \rangle \rangle \end{aligned}$$

$$\begin{aligned}
& + (a_{\bar{b}b}a_{\bar{c}c} - a_{\bar{b}c}a_{\bar{c}b}) (\cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}b} / 2\rangle + i a_{\bar{b}ac}) \\
& + 2 \sum_{d \neq b} \text{Im}(\cos(\theta)) (-a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle \\
& + (a_{\bar{b}b}a_{\bar{c}c} - a_{\bar{b}c}a_{\bar{c}b}) (\cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + i a_{\bar{b}ac})
\end{aligned}$$

Term a1) is identical to the expression obtained for the closed shell singlet triplet ($a_{\bar{b}b}$ -0) propagator.

Term a2) =

$$\begin{aligned}
& \cos(\theta) \cos(\theta) (a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle \\
& + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle) i a_{\bar{b}ac} \\
& + \cos(\theta) \cos(\theta) (a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle \\
& + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + a_{\bar{b}b}a_{\bar{c}d} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle) i a_{\bar{b}ac} \\
& + \cos(\theta) \cos(\theta) (a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + i a_{\bar{b}ac} + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + i a_{\bar{b}ac} \\
& + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + i a_{\bar{b}ac} + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + i a_{\bar{b}ac}) \\
& + \cos(\theta) \cos(\theta) (a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + i a_{\bar{b}ac} + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} / 2\rangle + i a_{\bar{b}ac} \\
& + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + i a_{\bar{b}ac} + a_{\bar{b}b}a_{\bar{c}c} \cos(\theta) |a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c}^* a_{\bar{b}b}^* a_{\bar{c}c} a_{\bar{b}d} a_{\bar{c}d} / 2\rangle + i a_{\bar{b}ac})
\end{aligned}$$

PROOF. (a) Let

$$\begin{aligned}
 & (aa|ab) \left(\eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) \\
 & + (\eta_a \eta_d + \eta_a \eta_a) \langle ab | a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (ba|ab) \left(\eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) \\
 & + (\eta_a \eta_c + \eta_a \eta_a) \langle ab | a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (aa|ba) \left(\eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) \\
 & + (\eta_a \eta_c + \eta_a \eta_a) \langle ab | a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (ba|ba) \left(\eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) \\
 & + (\eta_a \eta_d + \eta_a \eta_a) \langle ab | a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a - a_d^\dagger a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \Big) \delta_{abd}
 \end{aligned}$$

Then (a) =

$$\begin{aligned}
 & (aa|ca) \left(-\eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) - \eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \\
 & + (\eta_a \eta_c - \eta_a \eta_d) \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (aa|da) \left(\eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) + \eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \\
 & + (\eta_a \eta_d - \eta_a \eta_d) \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (ba|ca) \left(-\eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) - \eta_a \eta_c \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle \\
 & + (\eta_a \eta_c - \eta_a \eta_d) \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a \eta_a | ab \rangle \Big) \delta_{abd} \\
 & + (ba|da) \left(\eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \right) + \eta_a \eta_d \langle ab | a_d^\dagger a_d^\dagger \eta_a \eta_a | ab \rangle
 \end{aligned}$$

$$+ (\pi_B \pi_C - \pi_B \pi_D) \text{tr} (a_B^\dagger a_D^\dagger a_C^\dagger a_A a_B a_C) \Big) \mathbb{I}_{\text{iso}}$$

The following are the contributions to the 8 blocks.

$$\text{Term 31)} = 0$$

$$\text{Term 32)} \text{ is same as the closed shell triplet } (\pi_B = 0) \text{ case} \Rightarrow$$

$$\text{Term 33)} =$$

$$\begin{aligned} & (\text{iso} | \text{iso} | \pi_B \pi_D \text{tr} (a_B^\dagger a_D^\dagger a_B a_A - a_A^\dagger a_B^\dagger a_B a_A + a_D^\dagger a_D^\dagger a_B a_D - a_D^\dagger a_D^\dagger a_D a_A) | 0) \\ & + \pi_B \pi_D \text{tr} (a_B^\dagger a_D^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_D^\dagger a_D^\dagger a_B a_D - a_D^\dagger a_D^\dagger a_D a_D) | 0) \mathbb{I}_{\text{iso}} \\ & + (\text{iso} | \text{iso} | \pi_B \pi_C \text{tr} (a_B^\dagger a_C^\dagger a_B a_A - a_A^\dagger a_B^\dagger a_B a_A + a_C^\dagger a_C^\dagger a_B a_C - a_C^\dagger a_C^\dagger a_C a_B) | 0) \\ & + \pi_B \pi_C \text{tr} (a_B^\dagger a_C^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_C^\dagger a_C^\dagger a_B a_C - a_C^\dagger a_C^\dagger a_C a_C) | 0) \mathbb{I}_{\text{iso}} \\ & + (\text{iso} | \text{iso} | \pi_B \pi_D \text{tr} (a_B^\dagger a_D^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_D^\dagger a_D^\dagger a_B a_D - a_D^\dagger a_D^\dagger a_D a_D) | 0) \\ & + \pi_B \pi_D \text{tr} (a_B^\dagger a_D^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_D^\dagger a_D^\dagger a_B a_D - a_D^\dagger a_D^\dagger a_D a_D) | 0) \mathbb{I}_{\text{iso}} \\ & + (\text{iso} | \text{iso} | \pi_B \pi_C \text{tr} (a_B^\dagger a_C^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_C^\dagger a_C^\dagger a_B a_C - a_C^\dagger a_C^\dagger a_C a_C) | 0) \\ & + \pi_B \pi_C \text{tr} (a_B^\dagger a_C^\dagger a_B a_B - a_A^\dagger a_B^\dagger a_B a_A + a_C^\dagger a_C^\dagger a_B a_C - a_C^\dagger a_C^\dagger a_C a_C) | 0) \mathbb{I}_{\text{iso}} \end{aligned}$$

$$\text{Term 34)} =$$

$$(\text{iso} | \text{iso} | \pi_B \pi_D \text{tr} (a_B^\dagger a_D^\dagger a_B a_D - a_D^\dagger a_B^\dagger a_B a_D) | 0)$$

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I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.



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